CITY COUNCIL AGENDA ITEM COVER MEMO

		,	Agenda Item I	Number
Meeting Type:	Regular	N	deeting Date:	3/22/2012
Action Requeste Engineering	ed By:		Agenda Item Resolution	туре
Subject Matter:				
Agreement with	n Barge, Waggoner, Sumr	er and Cannon, Inc		
Exact Wording f	or the Agenda:			
Resolution auth & Cannon, Inc.	portion regards. Provided the Mayor to enter for Engineering Design Scoppography-Greenbriar Boundary	ervices for Sewell M	ega Site Certi	Waggoner, Sumner, fication Project Aerial
Note: If among				
Note: If amend	dment, please state title	e and number of t	the original	
Item to be consi	dered for: <u>Action</u>	Unanimous Conser	nt Required: <u>N</u>	<u>lo</u>
provide, allow	the action is required; wand; any other information			ncil action will
Agreement is no Sum Total Cont	ecessary to provide servic ract Amount of \$199,950.	es to prepare a mas 00. Account No. 23	ster site certifi 3-6300-0811-	cation plan for a Lump 8501
Associated Cost:		Bue	dgeted Item:	Select
MAYOR RECOMM	IENDS OR CONCURS: Sel	<u>ect</u>		1 1
Department Hea	d: Shit C)	Date:	3/19/12

ROUTING SLIP CONTRACTS AND AGREEMENTS

Originating Department: Engineering Council Meeting Date: 3/22/2012

Department Contact: Lynn Majors Phone # 256-427-5201

Contract or Agreement: Engineering Services

Document Name: BWSC-Sewell Mega Site-Project No. 65-12-SP20

City Obligation Amount:

\$199,950.00

Total Project Budget:

\$199,950.00

Uncommitted Account Balance:

0

Account Number:

23-6300-0811-8501

Procurement Agreements

Not Applicable	Not Applicable		
	Grant-Funded Agreements		

Not	Grant Name:
<u>Applicable</u>	

Department	Signature	, Dațe
1) Originating	Shall	3/19/12
2) Legal	Mary C. Cates	3/20/12
3) Finance	Exp	3/21
4) Originating		/ /
5) Copy Distribution		
a. Mayor's office (1 copies)		
b. Clerk-Treasurer (Original & 2 copies)		

RESOLUTION NO. 12-

BE IT RESOLVED by the City Council of the City of Huntsville, Alabama, that the Mayor be, and is hereby authorized, to enter into a contract with Barge, Waggoner, Sumner and Cannon, Inc. for Engineering Design Services for Sewell Mega Site Certification Project Aerial Photography/Topography-Greenbriar Boulevard in the total amount of ONE HUNDRED NINETY-NINE THOUSAND NINE HUNDRED FIFTY AND NO/100 DOLLARS (\$199,950.00), on behalf of the City of Huntsville, a municipal corporation in the State of Alabama, which said contract is substantially in words and figures similar to that document attached hereto and identified as "Contract between City of Huntsville and Barge, Waggoner, Sumner and Cannon, Inc. for Engineering Design Services for Sewell Mega Site Certification Project Aerial Photography/Topography-Greenbriar Boulevard, Project No. 65-12-SP20" consisting of a total of eighteen (18) pages plus fiftythree (53) additional pages consisting of Attachments 1-12, the date of March 22, 2012, appearing on the margin of the first page, together with the signature of the President or President Pro Tem of the City Council, and an executed copy of said document being permanently kept on file in the Office of the City Clerk of the City of Huntsville, Alabama.

ADOPTED	this	the	22nd	day of	March	, 2012.
APPROVEI) this	s the	22nd	the C		City Council of rille, Alabama
				Mayor Alaba		of Huntsville,

AGREEMENT BETWEEN

CITY OF HUNTSVILLE, ALABAMA

AND

BARGE, WAGGONER, SUMNER AND CANNON, INC.

FOR

ENGINEERING DESIGN SERVICES FOR

SEWELL MEGA SITE CERTIFICATION PROJECT AERIAL PHOTOGRAPHY/TOPOGRAPHY-GREENBRIAR BOULEVARD

CITY OF HUNTSVILLE

Project No. 65-12-SP20 March 22, 2012

President of the City Council of the City of Huntsville, AL

Date: March 22, 2012

AGREEMENT BETWEEN CITY OF HUNTSVILLE, ALABAMA AND

BARGE, WAGGONER, SUMNER AND CANNON, INC. FOR

ENGINEERING DESIGN SERVICES FOR SEWELL MEGA SITE CERTIFICATION PROJECT AERIAL PHOTOGRAPHY/TOPOGRAPHY-GREENBRIAR BOULEVARD Project No. 65-12-SP20

THIS AGREEMENT made as of the 22nd day of March in the year 2012, by and between the CITY OF HUNTSVILLE, ALABAMA (hereinafter called OWNER), and BARGE, WAGGONER, SUMNER AND CANNON, INC., (hereinafter called ENGINEER).

WITNESSETH, for the considerations hereinafter set forth, the parties hereto agree as follows:

ARTICLE 1 - ENGAGEMENT OF THE ENGINEER

The OWNER hereby engages the ENGINEER, and the ENGINEER hereby accepts the engagement to provide general engineering services and consultation as a representative of the OWNER to include the following:

- 1.1 Professional engineering services for Sewell Mega Site Certification Project Aerial Photography/Topography-Greenbriar Boulevard, as further described in ARTICLE 2, and hereinafter called PROJECT.
- By executing this Agreement, the ENGINEER represents to the OWNER that the ENGINEER is a professional qualified to act as the ENGINEER for the PROJECT and is licensed and certified to practice engineering services by all public entities having jurisdiction over the ENGINEER and the PROJECT. The ENGINEER further represents to the OWNER that the ENGINEER will maintain all necessary licenses, certifications, permits or other authorizations necessary to act as ENGINEER for the PROJECT until the ENGINEER's remaining duties hereunder have been satisfied. The ENGINEER shall assign only qualified personnel to perform any service concerning the PROJECT. All services rendered by the ENGINEER for the PROJECT shall be performed by or under the immediate supervision of experienced and qualified professionals licensed, certified, and registered as appropriate in the State of Alabama possessing the expertise in the discipline of the service being rendered. The ENGINEER assumes full responsibility to the OWNER for the negligent acts, errors and omissions of its consultants or others employed or retained by the ENGINEER in connection with the PROJECT.
- 1.3 Execution of this Agreement by the ENGINEER constitutes a representation that the ENGINEER has become familiar with the PROJECT site and the local conditions under which the PROJECT is to be implemented. The ENGINEER agrees to provide all necessary engineering services required to professionally accomplish the ENGINEER's defined scope of services.

ARTICLE 2 – DESIGN SERVICES OF THE ENGINEER

- 2.1 ENGINEER shall provide for OWNER professional Engineering Services for the City of Huntsville. These services shall include consultation and advice; customary civil, structural, mechanical and electrical design services; and Architectural services incidental thereto, as outlined herein and further described in the SCOPE OF SERVICES, ATTACHMENT 1.
- Upon the OWNERS authorization, the ENGINEER shall obtain new aerial photography; perform stereo compilation for a minimum of 1,500 total acres generating new planimetric and topographic mapping, generate orthophotos for Huntsville City Limits, and generate contact prints at 1" = 1600' scale.
- 2.3 The ENGINEER shall serve as the OWNER's professional representative in those portions of the PROJECT to which this Agreement applies and shall consult with and advise the OWNER during the performance of these services.
- The ENGINEER shall incorporate into its design, and into its final work products, the requirements contained within the OWNER's standards, standard specifications, and design manuals referenced in ATTACHMENT 4. When conflicts are noted between the OWNERS requirements and standards of others, the OWNERS standards shall take precedent. Discrepancies shall be brought to the attention of the OWNER. Deviations from OWNER's requirements shall be identified to the OWNER by the ENGINEER in writing prior to incorporating the changes.
- 2.5 The ENGINEER shall provide all products listed in Attachment 1, as required by the OWNER after completion of the project. Digital mapping shall meet National Map Accuracy Standards for 5 foot contours and orthophotos at 1":200' scale. Surveys and plats shall be done in accordance with the current "Minimum Technical Standards for Land Surveying in Alabama," as adopted by the Alabama Society of Professional Land Surveyors. Final payment will be made to the ENGINEER after engineering services have been provided to the OWNER.
- 2.6 The ENGINEER shall promptly correct, or have corrected, any errors, omissions, deficiencies or conflicts in the ENGINEER's work product or that of his sub-contractors/sub-consultants, without additional compensation for time, reproduction or distribution.
- 2.7 During the process of design and preparation of the contract deliverables, the ENGINEER shall review with the OWNER the project documents, schedule, and other design services issues. Following such reviews, the ENGINEER shall make any appropriate revisions thereto to assure compliance with the OWNER's requirements.
- Practice for Surveying in the State of Alabama" as required by the Alabama Board of Registration for Engineering and Land Surveyors. Surveying shall include P.K. Nails or other permanent stationing markings as well as staking of right-of-way, easements and parcels of land acquired by the City of Huntsville. Property corners shall be set at the new right-of-way. Easements shall be staked as requested by the City of Huntsville. The above field work shall be performed as a minimum as needed at the time of right-of-way acquisition and one additional time near the 100% submittal stage as determined by the OWNER. The cost for these services is included in the fees for Basic Services.

Survey data shall be based on a US Public Land Survey System corner or quarter corner. Said corner or quarter corner shall be field verified by the surveyor and a state

plane coordinate provided in deliverables submitted to the City of Huntsville. All survey work shall be based on the following datum's:

Coordinate System:

US State Plane

Zone:

Alabama East 0101

Vertical Datum:

The North American Vertical Datum of 1988 (NAVD 88)

Horizontal Datum:

The North American Datum of 1983 (NAD 83)

Geoid Model:

Geoid03

Units:

US Survey Feet

ARTICLE 3 - CONSTRUCTION ADMINISTRATION SERVICES

ARTICLE OMITTED

ARTICLE 4 - ADDITIONAL SERVICES

The following services of the ENGINEER are not included in Article 2. Nevertheless, the ENGINEER shall provide such services if authorized in writing by the OWNER, and they shall be paid for by the OWNER as provided in Article 7.

- 4.1 Making revision in drawings, specifications or other documents when such revisions are inconsistent with written direction by the OWNER previously given, are required by the enactment of revision of codes, laws or regulations subsequent to the preparation of such documents and not reasonably anticipated, or are due to other causes not within the control or responsibility of the ENGINEER, either in whole or in part.
- 4.2 Preparing drawings, specifications and supporting data in connection with change orders, provided that such change orders are issued by the OWNER due to causes not within the control or responsibility of the ENGINEER, either in whole or in part.
- Providing additional services for repair or replacement of work damaged by acts of God or other cause during construction provided that such services are required by causes not the responsibility of the ENGINEER, either in whole or in part.
- **4.4** Providing services not otherwise required herein which are made necessary solely by the default of the Contractor or major defects or deficiencies in the work of the contractor.
- 4.5 Providing expert witness services and other services arising out of claims.

<u>ARTICLE 5 - RESPONSIBILITIES OF OWNER</u>

The OWNER, without cost to the ENGINEER, will perform the following in a timely manner so as not to delay the services of the ENGINEER:

- 5.1 Assist ENGINEER by placing at ENGINEER's disposal all available information pertinent to the PROJECT including previous reports and any other data relative to design or construction of the PROJECT.
- Provide all criteria and full information as to OWNER's requirements for the PROJECT, including objectives and constraints, space, capacity and performance requirements, flexibility and expendability, and any budgetary limitations. The OWNER shall also

furnish copies of all design and construction standards, which OWNER will require to be included in the drawings and specifications.

- Assist the ENGINEER as necessary in acquiring access to and making all provisions for the ENGINEER to enter upon public and private lands as required for the ENGINEER to perform the work under this agreement.
- Designate in writing a person to act as the OWNER's representative with respect to the work to be performed under this Agreement, such person to have complete authority to transmit instructions, receive information, interpret and define the OWNER's policies and decision with respect to materials, equipment elements and systems pertinent to the work covered by this Agreement. Examine all studies, reports, sketches, drawings, specifications, proposals and other documents presented by ENGINEER, obtain advice of an attorney, insurance counselor and other consultants as OWNER determines appropriate for such examination and render in writing decisions pertaining thereto within a reasonable time so as not to delay the services of ENGINEER.
- When requested by the Engineer, the OWNER will intercede on the ENGINEER's behalf when data from, or review by, third parties is not on schedule through no fault of the ENGINEER.
- 5.6 The OWNER's review of any documents prepared by the ENGINEER or its consultants shall be solely for the purpose of determining whether such documents are generally consistent with the OWNER's intent. No review of such documents shall relieve the ENGINEER of its responsibility for the accuracy, adequacy, fitness, suitability and coordination of its work product.

ARTICLE 6 - PERIOD OF SERVICES

6.1 The ENGINEER shall commence services pursuant to this agreement as of March 23, 2012. The final completion date for the completion of mapping services outlined in Article 2 shall be June 1, 2012.

The ENGINEER shall perform these services with reasonable diligence and expediency consistent with sound professional practices. The ENGINEER shall include in his schedule an allowance for time required for OWNER's review of submissions and for approvals of authorities having jurisdiction over the PROJECT. When approved by the OWNER, the schedule shall not be exceeded by the ENGINEER, except for cause.

If the ENGINEER becomes aware of delays due to time allowances for review and approval being exceeded, delay by the OWNER, the OWNER's consultants, or any other reason beyond the ENGINEER's control, which may result in the schedule of performance of the ENGINEER's services not being met, the ENGINEER shall promptly notify the OWNER. If the OWNER becomes aware of any delays or other causes that will affect the ENGINEER's schedule, the OWNER shall promptly notify the ENGINEER. In either event, the ENGINEER's schedule for performance of its services shall be equitably adjusted.

ARTICLE 7 - PAYMENT TO THE ENGINEER

7.1 BASIC SERVICES

The OWNER shall compensate the ENGINEER for services rendered pursuant to this Agreement, excepting those services described as Additional Services in Article 4 of this Agreement, by payment of the LUMP SUM AMOUNT of ONE HUNDRED NINETY-NINE THOUSAND NINE HUNDRED FIFTY AND NO/100 DOLLARS (\$199,950.00) for Engineering services described in Article 2. Additional services of the ENGINEER as described in Article 4, if any, shall be compensated on an hourly basis in accordance with Attachment 6.

7.2 EFFECTIVE DATE

This contract shall have no force or effect unless and until it is executed by the OWNER and the ENGINEER and a properly executed copy is mailed to the ENGINEER with a notice to proceed (NTP). If a NTP is not issued within sixty (60) days commencing from the last date of execution of this CONTRACT by the OWNER and the ENGINEER, then this CONTRACT shall be NULL AND VOID, the OWNER will not be obligated to any payment to the ENGINEER and the ENGINEER will not be obligated to perform any work under said CONTRACT.

PAYMENT SUMMARY

Total contract LUMP SUM AMOUNT of

\$199,950,00

ARTICLE 8 - GENERAL PAYMENT PROCEDURE

8.1 INVOICES

- 8.1.1 The ENGINEER shall submit monthly invoices to The Administrative Officer in the Engineering Department for the basic services described under Article 2 for the design of the PROJECT. Along with each invoice, the ENGINEER shall submit a consultant progress report known as Attachment 7. No payment will be made without the consultant progress report completed and attached. Monthly progress reports shall be submitted monthly even if no request for payment is made. If services under Article 4 are included in the invoice for additional services not included under the lump sum provisions, or services billed as time and material, the classification and hours of such persons rendering the services shall be attached to the invoice.
- 8.1.2 The signature of the ENGINEER on the invoice shall constitute the ENGINEER's representation to the OWNER that the services indicated in the invoice have progressed to the level indicated, have been properly and timely performed as required herein, that the reimbursable expenses included in the invoice have been reasonably incurred, that all obligations of the ENGINEER covered by prior invoices have been paid in full, and that, to the best of the ENGINEER's knowledge, information and informed belief, the amount requested is currently due and owing, there being no reason known to the ENGINEER the payment of any portion thereof should be withheld. Submission of the ENGINEER's invoice

for final payment and reimbursement shall further constitute the ENGINEER's representation to the OWNER that, upon receipt from the OWNER of the amount invoiced, all obligations of the ENGINEER to others, including its consultants, incurred in connection with the PROJECT, have been paid in full. ENGINEER must designate on <u>Attachment 7 — Progress Report</u> in the appropriate space provided that such action has been completed.

8.2 TIME FOR PAYMENT

The OWNER shall make payment for services in Articles 2 and 4 within 60 days of receipt of valid invoice.

8.3 OWNER'S RIGHT TO WITHHOLD PAYMENT

In the event the OWNER becomes credibly informed that any representations of the ENGINEER, provided pursuant to Article 8.1.2, are wholly or partially inaccurate, the OWNER may withhold payment of sums then or in the future otherwise due to the ENGINEER until the inaccuracy, and the cause thereof, is corrected to the OWNER's reasonable satisfaction. Additionally, failure by the ENGINEER to supply substantiating records shall be reason to exclude related costs from the amounts which might otherwise be payable by the OWNER to the ENGINEER.

8.4 REIMBURSABLE EXPENSES

- 8.4.1 In addition to the requirements set forth in 8.1 above, invoices for reimbursable expenses shall include such documentation as the OWNER may require. Reasonable expenses are limited to the following expenses:
 - (a) Transportation outside the immediate Huntsville area (50 mile radius) approved in advance by the OWNER in writing and incurred in connection with the PROJECT; (Per Department of Treasury, Internal Revenue Service Publication 1542, Per Diem Rates, for travel within the continental United States). Refer to website: www.irs.gov/pub/irs-pdf/p1542.pdf for more information.
 - (b) Charges for long-distance communications:
 - (c) Fees paid for securing approval of authorities having jurisdiction over the PROJECT.
 - (d) Actual costs of reproduction for items in excess of those included in the required services;
 - (e) Postage and handling charges incurred for drawings, specifications and other documents.
- 8.4.2 The ENGINEER shall set forth with particularity on its invoice the nature and cost of the expense item being billed, and attach to its invoice the written authorization, if any, required for such item; and shall bill expenses at actual cost or prevailing rate and without the addition of administrative charge, any multiple or surcharge.

ARTICLE 9 - GENERAL CONSIDERATIONS

9.1 GENERAL

OWNER and ENGINEER agree that the following sections and provisions shall apply to the work to be performed under this Agreement and that such provisions shall supersede any conflicting provisions of this Agreement.

9.2 SUB-CONTRACTED SPECIALIZED SERVICES

The ENGINEER may sub-contract specialized services required of the PROJECT to competent and experienced sub-consultants approved by the OWNER in writing. As a prime professional, the ENGINEER shall act as OWNER's representative for contracting, directing, and managing the services of sub-consultants. The OWNER shall have the right to reject any consultant provided that the OWNER raises a timely objection. Expenses payable to the ENGINEER for administration of subcontracted services are limited to no more than 5% of the cost of the subcontracted services.

9.3 PEER REVIEW

The OWNER reserves the right to conduct, at the OWNER's expense, peer review of designs and drawings prepared by the ENGINEER and/or sub-consultant(s) for the PROJECT. The ENGINEER and sub-consultant(s) agree that knowledge and consent to review of their work by other engineers of the OWNER's choosing is hereby given in accordance with the ADMINISTRATIVE CODE (RULES AND REGULATIONS) of the Alabama State Board of Licensure for Professional Engineers and Land Surveyors, Chapter 330-X-14-.06(a) (13) effective January 2008 and as may be amended now or in the future pertaining to the Code of Ethics for review of the work of another engineer.

9.4 CLARIFICATION OF WORK

If reviewing agencies raise questions regarding the work of ENGINEER, OWNER will participate in such meetings as deemed necessary to explain and clarify this work.

9.5 CANCELLATION OF WORK

This Agreement may be canceled by either party in the event of default or violation of any of the provisions of this Agreement by the other party, by written notice delivered to the address of record by registered mail giving ten (10) days advance notice of the intention to cancel. In the event of cancellation of this Agreement, ENGINEER shall be paid for all work performed to date of cancellation, less any loss, damage, or liability incurred by reason of default of ENGINEER and all records, data, parameters, design calculations and other information collected or obtained in the performance of this Agreement shall be delivered to OWNER.

9.6 SUCCESSORS AND ASSIGNS

OWNER and ENGINEER each binds himself and his partners, successors, executors, administrators and assigns to the other party of this Agreement and to the partners, successors, executors, administrators and assigns of such other party, with respect of all covenants of this Agreement; except as above, neither OWNER nor ENGINEER shall assign, sublet or transfer his interest in this Agreement without written consent of the other. Nothing herein shall be construed as creating any personal liability on the part of any officer or agent of any public body that may be party hereof, nor shall it be construed as giving any rights or benefits hereunder to anyone other than OWNER and ENGINEER.

9.7 CHANGES

9.7.1 The OWNER may, at any time by written order, make changes within the general scope of the Agreement in the services to be provided. If such changes cause an increase or decrease in ENGINEER's cost of, or time required for performance of any services, whether or not changed by any order, an equitable

adjustment shall be made and the Agreement shall be modified in writing accordingly. Upon notification of change, ENGINEER must assert any claim of ENGINEER for adjustment in writing within 30 days from the date of receipt unless OWNER grants a further period of time.

9.7.2 If findings in any phase of this PROJECT significantly alter the scope of work for subsequent phases, or if regulations are changed resulting in a scope of work change for any phase, engineering fees set forth in Article 7 may be renegotiated by the OWNER and ENGINEER.

9.8 ENGINEER'S RECORDS

Documentation accurately reflecting services performed and the time expended by the ENGINEER and his personnel and records of reimbursable expenses shall be prepared concurrently with the performance of the services and shall be maintained by the ENGINEER. The ENGINEER shall maintain record copies of all written communications, and any memoranda of verbal communications related to the PROJECT. All such records and documentation shall be maintained for a minimum of five (5) years after the PROJECT date of final completion or for any longer period of time as may be required by law or good practice. If the ENGINEER receives notification of a dispute or of pending or commencement of litigation during this five-year period, the ENGINEER shall continue to maintain all PROJECT records until final resolution of the dispute or litigation. The ENGINEER shall make such records and documentation available to the OWNER upon notice and shall allow the authorized representative(s) of the OWNER to inspect, examine, review and copy the ENGINEER's records at the OWNER's reasonable expense.

9.9 USE AND OWNERSHIP OF DOCUMENTS

All rights of ownership, copyrights, construction documents, including all drawings. specifications and other documents, electronic media, computer source code, or things prepared by or on behalf of the ENGINEER for the PROJECT are hereby transferred to the OWNER and shall be the sole property of the OWNER and are free of any retention rights of the ENGINEER. The ENGINEER hereby grants to the OWNER an unconditional right to use or to refer to, for any purpose whatsoever, the construction documents and any other documents or electronic media, computer source code prepared by or on behalf of the ENGINEER for the PROJECT, free of any copyright claims, trade secrets or other proprietary rights with respect to such documents. The ENGINEER shall be permitted to retain copies thereof for its records. The ENGINEER's documents and other work products are not intended or represented to be suitable for reuse by OWNER or others on extensions of the PROJECT or on any other PROJECT. Any re-use without specific written verification or adaptation by ENGINEER will be at OWNER's sole risk and without liability or legal exposure to ENGINEER, and OWNER shall indemnify and hold harmless ENGINEER from all claims, damages, losses and expenses including attorneys' fees arising out of, or resulting from, such reuse by the OWNER; provided however, that this agreement to indemnify and save harmless shall not apply to any reuse of documents retained by, or through, the contractor.

9.10 ESTIMATE OF CONSTRUCTION COST

Since ENGINEER has no control over the construction cost of labor, materials, or equipment, or over the construction contractor(s) methods of determining prices, or over competitive bidding or market conditions, his opinion of probable PROJECT cost or construction cost provided for herein are to be made on the basis of his experience and qualifications and represent his best judgment as a design professional familiar with the construction industry; but, ENGINEER cannot and does not guarantee that proposals,

bids or construction costs will not vary from opinions of probable cost prepared by him. If OWNER wishes greater assurance as to the construction cost, he will employ an independent cost estimator.

9.11 TERMINATION FOR CAUSE

This Agreement may be terminated by either party upon seven (7) days written notice to the other should such other party fail substantially to perform in accordance with its material terms through no fault of the party initiating the termination.

9.12 TERMINATION BY THE OWNER WITHOUT CAUSE

The OWNER may terminate this Agreement without cause upon seven (7) days' written notice to the ENGINEER. In the event of such a termination without cause, the ENGINEER shall be compensated for all services performed prior to termination, together with Reimbursable Expenses incurred. In such event, the ENGINEER shall promptly submit to the OWNER its invoice for final payment and reimbursement which invoice shall comply with the provisions of Paragraph 8.1.

ARTICLE 10 - INDEMNITY AND INSURANCE

10.1 INSURANCE

The ENGINEER shall carry insurance of the following kinds and amounts in addition to any other forms of insurance or bonds required under the terms of the contract specifications. The ENGINEER shall procure and maintain for the duration of the job until final acceptance by the OWNER, or as later indicated, insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the ENGINEER, his agents, representatives, employees or subcontractor.

10.2 MINIMUM SCOPE OF INSURANCE:

A. General Liability:

Insurance shall be written on an occurrence basis. Claims-made coverage will be accepted only on an exception basis after the OWNER's approval. The same insurance company should write General Liability Coverage and OWNERs ENGINEERs Protective Insurance.

B. Commercial General Liability

Products and Completed Operations Contractual Personal Injury Explosion, Collapse and Underground Broad Form Property Damage

C. Professional Liability:

Insurance may be written on a "claims-made" basis, providing coverage for negligent acts, errors or omissions in the performance of professional services. Coverage shall be maintained for a discovery and reporting period of no less than five (5) years after completion of the professional services and Certificates of Insurance shall be submitted to the OWNER on a yearly basis during this time

frame. Coverage shall be no less comprehensive than that which is carried by at least 25% of the registered engineers or engineering firms contracting in the State of Alabama. Such coverage shall be carried on a continuous basis including prior acts coverage to cover the subject PROJECT. The professional liability insurance shall contain contractual liability coverage.

D. Automobile Liability:

Business Automobile Liability providing coverage for all owned, hired and non-owned autos. Coverage for loading and unloading shall be provided under either automobile liability or general liability policy forms.

E. Workers' Compensation Insurance:

Statutory protection against bodily injury, sickness or disease or death sustained by employee in the scope of employment. Protection shall be provided by a commercial insurance company or a recognized self-insurance fund authorized before the State of Alabama Industrial Board of Relations. "Waivers of Subrogation" in favor of the OWNER shall be endorsed to Workers' Compensation Insurance.

F. Employers Liability Insurance:

Covering common law claims of injured employees made in lieu of or in addition to a worker's compensation claim.

10.3 MINIMUM LIMITS OF INSURANCE:

A. General Liability:

Commercial General Liability on an "occurrence form" for bodily injury and property damage:

\$ 2,000,000 General Aggregate Limit

\$ 2.000,000 Products - Completed Operations Aggregate

\$ 1,000,000 Personal & Advertising Injury

\$ 1,000,000 Each Occurrence

B. Professional Liability:

Insurance may be made on a "claims-made" basis:

\$ 500,000 Per Claim - Land Surveyors \$ 1,000,000 Per Claim - Other Professionals

C. Automobile Liability:

\$ 1,000,000 Combined Single Limit per accident for bodily injury and property damage.

D. Workers' Compensation:

As required by the State of Alabama Statute

E. Employers Liability:

\$ 1,000,000 Bodily Injury by Accident or Disease \$ 1,000,000 Policy Limit by Disease

10.4 OTHER INSURANCE PROVISIONS:

The OWNER is hereby authorized to adjust the requirements set forth in this document in the event it is determined that such adjustment is in the OWNER's best interest. If the insurance requirements are not adjusted by the OWNER prior to the OWNER's release of specifications with regard to the PROJECT in question, then the minimum limits shall apply. The City of Huntsville/OWNER shall be named on the policies of general liability and automobile insurance and on the certificate of insurance as an Additional Insured. Additional Insured status on the Commercial General Liability policy shall be through ISO Additional Endorsement CG 20 10 11 85 or equivalent and coverage shall be afforded on a primary basis.

The policies are to contain, or be endorsed to contain, the following provisions:

A. All Coverage:

The ENGINEER is responsible to pay all deductibles. Each insurance policy required by this clause shall be endorsed to state that coverage shall not be suspended, voided, canceled, non-renewal or materially changed by either party, reduced in coverage or in limits except after thirty (30) days' prior written notice by certified mail, return receipt requested, has been given to the OWNER. Cancellation of coverage for non-payment of premium will require ten (10) days written notice to the OWNER.

10.5 ACCEPTABILITY OF INSURERS:

Insurance is to be placed with insurers authorized by the State of Alabama with an A. M. Best rating of A-V or better.

10.6 VERIFICATION OF COVERAGE:

The OWNER shall be indicated as a Certificate Holder and the ENGINEER shall furnish the OWNER with Certificates of Insurance reflecting the coverage required by this document. The A. M. Best rating and deductibles, if applicable, shall be indicated on the Certificate of Insurance for each insurance policy. The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf and must be an original signature. Certificates signed using digital signatures will not be accepted. All certificates are to be received and approved by the OWNER before work commences. The OWNER reserves the right to require complete, certified copies of all required insurance policies at any time.

10.7 CONSULTANTS AND/OR SUBCONTRACTORS WORKING FOR THE ENGINEER:

The ENGINEER shall furnish separate certificates and/or endorsements for each subcontractor and/or consultant showing insurance of the same type or types and to the extent of the coverage set forth in this Article 10.

10.8 HOLD HARMLESS AGREEMENT:

A. Other Than Professional Liability Exposures:

The ENGINEER, to the fullest extent permitted by law, shall indemnify and hold harmless the OWNER, its elected and appointed officials, employees, agents, and representatives against all claims, damages, losses and expenses, including, but not limited to, attorney's fees, arising out of or resulting from the performance of the work, provided that any such claim, damage, loss or expense (1) is attributable to personal injury, including bodily injury sickness, disease or death, or to injury to or destruction of tangible property, including loss of use resulting there from, and (2) is caused by any negligent act or omission of the ENGINEER or any of their consultants, or anyone directly or indirectly employed by them or anyone for whose acts they are legally liable. Such obligation should not be construed to negate, abridge, or otherwise reduce any other right or obligation of indemnity, which would otherwise exist as to any party or person, described in this paragraph.

B. Professional Liability:

The ENGINEER agrees, to the fullest extent permitted by law, to defend, protect. indemnify and hold harmless the OWNER, its elected and appointed officials, officers, directors, employees, agents, and representatives from and against any and all liability, claims, demands, damages, loss, costs, fees, and expenses (including actual fees and expenses of attorneys, expert witnesses, and other consultants) actually or allegedly arising out of, or resulting from, the professional services of the ENGINEER or the ENGINEER's consultants, subcontractors, or suppliers, including, without limitation, any breach of contract or any negligent acts, errors, or omissions in the performance of the professional services provided pursuant to or as a result of this Agreement. Neither, the OWNER nor the ENGINEER shall be obligated to indemnify the other party in any manner whatsoever for the other parties own negligence. The OWNER agrees, to the fullest extent permitted by law, to indemnify and hold harmless the ENGINEER, its officers, directors, employees and sub consultants against all damages. liabilities or cost including reasonable attorney's fees and defense cost, to the extent caused by the OWNER's negligence acts in connection with the PROJECT and acts of its contractors, subcontractors, or consultants or anyone for whom the client is legally liable.

To the fullest extent permitted by law, the ENGINEER shall defend, protect, indemnify, and hold harmless the OWNER, its elected and appointed officials, officers, directors, employees, agents, and representatives from and against any and all liability, claims, demands, damages, loss, costs, fees and expenses (including actual fees and expenses of attorneys, expert witnesses, and other consultants) for infringement of patent rights, copyrights, or other intellectual property rights, except with respect to designs, processes or products of a particular manufacturer expressly required by the OWNER in writing. If the ENGINEER has reason to believe the use of a required design, process or product is an infringement of a patent, the ENGINEER shall be responsible for such loss unless such information is promptly given to the OWNER.

ARTICLE 11- MISCELLANEOUS PROVISIONS

11.1 GOVERNING LAW

This Agreement shall be governed by the law of the State of Alabama.

11.2 INTENT AND INTERPRETATION

- 11.2.1 The intent of this contract is to require complete, correct and timely execution of the work. Any work that may be required, implied or inferred by the contract documents, or any one or more of them, as necessary to produce the intended result shall be provided by the ENGINEER.
- 11.2.2 This contract is intended to be an integral whole and shall be interpreted as internally consistent. What is required by any one contract document shall be considered as required by the contract.
- 11.2.3 When a word, term or phrase is used in this contract, it shall be interpreted or construed, first, as defined herein; second, if not defined, according to its generally accepted meaning in the Mapping services industry; and third, if there is no generally accepted meaning in the Mapping services industry, according to its common and customary usage.
- 11.2.4 The words "include", "includes", or "including", as used in this contract, shall be deemed to be followed by the phrase, "without limitation".
- 11.2.5 The specification herein of any act, failure, refusal, omission, event, occurrence or condition as constituting a material breach of this contract shall not imply that any other, non-specified act, failure, refusal, omission, event, occurrence or condition shall be deemed not to constitute a material breach of this contract.
- 11.2.6 Words or terms used as nouns in this contract shall be inclusive of their singular and plural forms, unless the context of their usage clearly requires a contrary meaning.

11.3 TIME IS OF THE ESSENCE

Time limitations contained herein, or provided for hereby, are of the essence of this Agreement. The ENGINEER understands and acknowledges that time is of the essence in completion of the PROJECT and that the OWNER will incur damages if the PROJECT is not completed on time.

11.4 SUCCESSORS AND ASSIGNS

The ENGINEER shall not assign its rights hereunder, excepting its right to payment, nor shall it delegate any of its duties hereunder without the written consent of the OWNER. Subject to the provisions of the immediately preceding sentence, the OWNER and the ENGINEER, respectively, bind themselves, their successors, assigns and legal representatives to the other party to this Agreement and to the successors, assigns and legal representatives of such other party with respect to all covenants of this Agreement.

11.5 NO THIRD-PARTY BENEFICIARIES

This Agreement shall inure solely to the benefit of the parties hereto and their successors and assigns. Nothing contained herein is intended to or shall create a contractual

relationship with, or any rights in favor of, or any cause of action in favor or, any third party, against the OWNER or the ENGINEER.

11.6 INTELLECTUAL PROPERTY/ CONFIDENTIALITY

All information, documents, and electronic media, computer source code furnished by the OWNER to the ENGINEER belong to the OWNER, are considered proprietary and confidential, unless otherwise indicated by the OWNER, and are furnished solely for use on the OWNER's PROJECT. Such information, documents, and electronic media, computer source code shall be kept confidential by the ENGINEER, shall only be released as necessary to meet official regulatory requirements in connection with the PROJECT, and shall not be used by the ENGINEER on any other PROJECT or in connection with any other person or entity, unless disclosure or use thereof in connection with any matter other than services rendered to the OWNER hereunder is specifically authorized in writing by the OWNER in advance.

11.7 SUBCONTRACT REQUIREMENTS

The ENGINEER shall include the terms and conditions of this Agreement in every subcontract or agreement with a consultant for this PROJECT so that these terms and conditions shall be binding upon each subcontractor or consultant. The subcontractor(s)/consultant(s) will maintain all licenses and certifications to practice engineering by all public entities having jurisdiction over the PROJECT. The subcontractor (s)/consultant(s) further represent to the OWNER that the subcontractor(s)/consultant(s) will maintain all necessary licenses, certifications, permits or other authorizations necessary for the PROJECT until the remaining duties hereunder have been satisfied.

11.8 NOTICES

Unless otherwise provided, all notices shall be in writing and considered duly given if the original is hand delivered; if delivered by facsimile to 256-427-5325, or is sent by U.S. Mail, postage prepaid to City of Huntsville Engineering, P. O. Box 308 (35804), 320 Fountain Circle (35801), Huntsville, AL. All notices shall be given to the addresses set forth above. Notices, hand delivered or delivered by facsimile, shall be deemed given the next business day following the date of delivery. Notices given by U.S. Mail shall be deemed given as of the second business day following the date of posting.

11.9 STRICT COMPLIANCE

No failure of the OWNER to insist upon strict compliance by the ENGINEER with any provision of this Contract for Professional Services shall operate to release, waive, discharge, modify, change or affect any of the ENGINEER's obligations.

11.10 WAIVER

No provision of this Agreement may be waived except by written agreement of the parties. A waiver of any provision on one occasion shall not be deemed a waiver of that provision on any subsequent occasion, unless specifically stated in writing. A waiver of any provision shall not affect or alter the remaining provisions of this Agreement.

11.11 SEVERABILITY

If any provision of this Agreement, or the application thereof, is determined to be invalid or unenforceable, the remainder of that provision and all other provisions of this Agreement shall remain valid and enforceable.

11.12 ETHICS

The ENGINEER shall not offer or accept any bribes or kickbacks from or to any manufacturer, consultant, trade contractor, subcontractor, supplier or any other individual or entity in connection with the PROJECT. The ENGINEER shall not confer on any governmental, public or quasi-public official having any authority or influence over the PROJECT any payment, loan, subscription, advance, deposit of money, services or anything of more than nominal value, present or promised. The ENGINEER shall not, without the express written permission of the OWNER, engage or recommend to the OWNER engagement of any consultant, trade contractor, subcontractor, or supplier to provide services on behalf of the ENGINEER, OWNER or PROJECT in which the ENGINEER has a direct or indirect proprietary or other pecuniary interest; or call for the use of or by exclusion require or recommend the use of products, materials, equipment, systems, processes or procedures in which the ENGINEER or in which any consultant, trade contractor, subcontractor, or supplier of the ENGINEER has a direct or indirect proprietary or other pecuniary interest. Without prior notification and written approval of the OWNER, the ENGINEER and the ENGINEER'S subconsultants shall not offer services to the OWNER'S contractor

11.13 ALABAMA IMMIGRATION ACT

Compliance with the requirements of the (Beason-Hammon Alabama Taxpayer and Citizen Protection Act, Act No. 2011-535, Code of Alabama (1975) § 31-13-1 through 31-13-30, commonly referred to as the Alabama Immigration Law, is required for City of Huntsville, Alabama contracts as a condition of the contract performance. As a condition of this agreement, pursuant to Act No. 2011-535, Code of Alabama (1975) § 31-13-1 through 31-13-30, compliance with this requirement shall be done by the ENGINEER by completion of the "City of Huntsville, Alabama Report of Ownership Form" listed as Attachment 2 in this agreement and returning the completed form to the Engineering Division either by fax to 256/427-5325 to the attention of Mary Hollingsworth, email to Mary.Hollingsworth@huntsvilleal.gov, hand delivery or mail to: City of Huntsville Engineering Division, P. O. Box 308, Huntsville, AL 35804. The form shall be returned at the time of the signing of the contract by the ENGINEER and must be submitted before the contract is presented to the City of Huntsville City Council for approval.

11.14 E-VERIFY - NOTICE

The Beason-Hammon Alabama Taxpayer and Citizen Protection Act, Act No. 2011-535, Code of Alabama (1975) § 31-13-1 through 31-13-30" (also known as and hereinafter referred to as "the Alabama Immigration Act") is applicable to contracts with the City of Huntsville. As a condition for the award of a contract and as a term and condition of the contract with the City of Huntsville, in accordance with § 31-13-9 (a) of the Alabama Immigration Act, any business entity or employer that employs one or more employees shall not knowingly employ, hire for employment, or continue to employ an unauthorized alien and shall attest to such by sworn affidavit signed before a notary. Such business entity or employer shall provide a copy of such affidavit to the City of Huntsville as part of its bid or proposal for the contract along with a copy of the Memorandum of Understanding as documentation establishing that the business entity or employer is enrolled in the E-Verify program. The required affidavit form for the business entity and for sub-consultants/business entities employed by the contracting business entity are included as Attachment 3 in this contract. The original affidavit for your business entity must be returned to the City of Huntsville; the affidavit for the subcontractors should be kept on file in your office, and be made available to the city if requested.

During the performance of the contract, such business entity or employer shall participate in the E-Verify program and shall verify every employee that is required to be verified according to the applicable federal rules and regulations. The business entity or employer shall assure that these requirements are required of every subcontractor in accordance with §31-13-9(c) and shall maintain records that are available upon request by the City, state authorities or law enforcement to verify compliance with the requirements of the Alabama Immigration Act. Failure to comply with these requirements may result in breach of contract, termination of the contract or subcontract, and possibly suspension or revocation of business licenses and permits in accordance with §31-13-9 (e) (1) & (2) or in the case of a subcontractor, in accordance with §31-13-9 (f) (1) & (2).

Barge, Waggoner, Sumner and Cannon, Inc.
(Company)

BY: Jan W. W. (Authorized Représentative)

11.15 ENTIRE AGREEMENT

This Agreement represents the entire agreement between the OWNER and the ENGINEER and supersedes all prior communications, negotiations, representations or agreements, either written or oral. This agreement may be amended only by written instrument signed by both OWNER and ENGINEER.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement the day and year first above written.

OWNER: CITY OF HUNTSVILLE	ENGINEER: BARGE, WAGGONER, SUMNER AND CANNON, INC.
BY: Tommy Battle	BY: Harry M. Wilson, PE
TITLE: Mayor	TITLE: Vice President
ATTEST:	ATTEST: Many Hollingsworth
Given under my hand this day	Given under my hand this 2016 day
of, 2012.	of March, 2012.
Notary Public	Notary Public
My commission expires	My commission expires 3-28-15.

ATTACHMENT 1-SCOPE OF SERVICES

(Refer to Letter dated March 15, 2012, from Harry M. Wilson, PE to Shane Davis, PE)

ATTACHMENT 1



3/15/2012

Mr. Shane Davis, PE Director of Urban Development and Engineering City of Huntsville

302 Fountain Circle

Huntsyille, Alabama 35801

RE: Sewell Mega Site Certification Project

Aerial Photography / Topography Greenbriar Blvd.

Dear Mr. Davis:

BWSC is pleased to respond to your request for a proposal for professional services to collect and assimilate the required due diligence data necessary for the completion of the application for the McCallum Sweeney Certification Program. The ultimate goal of this process is to have the Sewell site located in East Limestone County, Alabama designated as a TVA Mega Site suitable for a future automobile assembly plant. The Aerial photography / topography mapping will also include the proposed GBB.

We have provided a Scope of Services, a Fee Proposal and a map of the subject site attached with this letter. Please contact me for discussions as necessary.

Sincerely,

Harry M. Wilson, PE

Office Manager/Vice President

Scope of Services Sewell Mega Site Certification

BWSC has utilized the McCallum Sweeney "Minimum Criteria and Items Required for Certification" as the basis for our Scope of Services. We have identified which items BWSC will perform and also identified items the City of Huntsville (COH) or the Huntsville Madison County Chamber of Commerce (HMCCC) would perform and where assistance would be required elsewhere.

General Requirements

- 1. Completed Mega-Site Questionnaire. Chamber of Commerce with assistance from BWSC and the City of Huntsville.
- 2. General transportation map including roads, rail, ports, and airports within a 50-mile radius of the site. BWSC will collect the necessary information and provide the appropriate drawings.
- 3. The following maps of the site, with site boundaries identified, must be submitted: *BWSC* will collect the necessary information and provide the appropriate drawings.
 - a. General location map
 - b. Aerial photograph
 - c. USGS 7½ minute quadrangle map (scale 1:24,000)

Site Availability

- 4. The site must be available for sale or lease (with a documented price and terms to prospective industrial investors. The applicant must provide documentation to confirm that the site is available. The attachments that must be submitted include the following:
 - a. Appropriate documentation that ensures that the site may be offered for a period of at least two years. This could be: 1) an appropriate real estate listing agreement authorizing an agent to offer the property for sale; 2) an option to purchase; 3) a contingency contract to purchase or lease; or 4) if the property owner is also the applicant, a letter from the owner (or its authorized representative) indicating the intent to sell the property. COH
 - b. A letter from the owner or controlling entity stating a price and conditions of a sale or lease. *COH*
 - c. A copy of the present deed(s) that indicates the current ownership of the site. COH
 - d. A recordable survey for the proposed site that depicts all boundaries, rights-of-ways, and easements. BWSC will perform the boundary survey and provide the appropriate drawings identifying these items.

e. The results of a title search showing clear title to the proposed site (the title search must encompass at least the prior 50-year history). BWSC will have a title search performed meeting the below requirements.

An acceptable title search must indicate:

- The owner does have a saleable interest in the property
- Any restrictions on the use of the property (covenants or easements)
- Any liens that may exist against the property.
- f. A county tax map depicting the location and property boundaries of the site. BWSC will collect the necessary information and provide the appropriate drawings.

Site Developability

- 5. The site must be a minimum of 1,500 total acres with a minimum of **700 developable**, **contiguous acres**. The applicant must provide documentation to demonstrate the site's developability. The attachments that must be submitted include the following:
 - a. Documentation of any known rights-of-ways, easements (including conservation easements), judgments, liens, restrictive covenants, and any other items that might impact the site's developability. BWSC will collect the necessary information and provide the appropriate drawings.
 - b. Phase I Environmental Site Assessment (ESA). The Phase I ESA should be performed in accordance with ASTM standard E1527-05. If there is indication of a recognized environmental concern, a Phase II ESA will be required to certify the site. If the identified areas of environmental concern are part of or will impact the acreage to be developed and the Phase II ESA indicates that these areas will need to be remediated, a remediation plan must also be submitted. The remediation plan must include cost and schedule estimates. BWSC will collect the necessary information and provide the documentation.
 - c. Documentation that the developable acreage is free of wetlands. The attachments should include: BWSC will collect the necessary information and provide the documentation.
 - National Wetlands Inventory (NWI) map for the area with site boundaries identified.
 - ii. A Wetlands Delineation including report(s) and map(s) indicating the location of wetlands must be completed. When available, a Jurisdictional Determination letter from the U.S. Army Corps of Engineers verifying the Wetlands Delineation should be provided. If wetlands exists and will be disturbed, a plan for mitigation, including costs, a schedule, and a funding source(s), must be submitted.

- d. Documentation including report(s) and map(s) indicating the presence and location of rare or endangered plant and/or animal species must be completed. The report(s) should be accompanied by an acknowledgement letter from the U.S. Fish and Wildlife Service. If applicable, a plan for mitigation, including costs, a schedule, and a funding source(s), must be submitted. BWSC will collect the necessary information and provide the documentation.
- e. Documentation including report(s) and map(s) indicating the presence and location of archeological findings, historic sites, or structures must be provided. The report(s) should be accompanied by an acknowledgement letter from the State Historical Preservation Officer (SHPO). If applicable, a plan for mitigation, including costs, a schedule, and a funding source(s), must be submitted. If a community has completed an archeological and historical investigation on the site, and they have received a letter from SHPO stating that it would recommend that no additional cultural resource surveys are necessary the community does not have to redo the archeological and historical study. BWSC will collect the necessary information and provide the documentation.
- f. Documentation that the site's soil characteristics are compatible with industrial development. The attachments should include: BWSC will provide a supplement to the Geotechnical Report by ECS performed in June 2008 for the Huntsville Madison County Chamber of Commerce. There will be four additional borings required to meet the requirements.
 - i. A county soil survey with site boundaries identified.
 - ii. A geotechnical study which should indicate a specific Seismic Site Class per current International Building Code (IBC). Classification can be based on a soil boring up to 100 feet, shear wave velocity, or geophysical methods. It is required that communities do one drilling per 50 developable acres, but no fewer than four drillings, and no more than 16 drillings. The exception to this would be if considerable wetlands were on the site rendering a large amount of acreage unsuitable for development.
- g. A topographic survey or topographic analysis of the site indicating the two-foot contours of the site. BWSC will provide a topographic map with one-foot contours.
- h. An engineer's cost and schedule estimate of the clearing, grubbing, and grading of a building pad on a site in the site. To complete this estimate, the applicant should assume a graded pad of 750 feet by 1,000 feet. Provide a visual indicating the location of the proposed pad in the site. BWSC will perform this cost estimate.
- 6. The proposed developable parcels of the site must be located outside of the 100-year Flood Zone. The applicant should attach a FEMA map with the panel number clearly indicated and the site boundaries identified. If there is filling in designated flood areas of the site, the applicant must attach an engineer's certification that the filled areas are in compliance with local ordinances or other recognized standards. If filling is anticipated for any of the sites within the site, the applicant must provide an engineer's estimate of the cost and schedule required to fill the flood area. BWSC will collect the necessary information and provide the appropriate drawings.

Transportation

- 7. The site must be within **5 miles**, via truck route, of an interstate or a limited access, four-lane, divided highway. The following documentation must be provided: *BWSC will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the City of Huntsville.*
 - a. A map indicating the route from the site to an interstate.
 - b. Any bridges that must be crossed must be indicated, and the weight limit of those bridges must be provided.
 - Any underpasses that occur along the route must be indicated, and the height and width clearances of those underpasses must be provided.

If the route does not completely allow for tractor-trailer access (80,000 pounds / 20,000 pounds per axle), the applicant must submit the following documentation:

- d. Letter of Intent stating access will be upgraded to required standards when the site is developed. This letter should contain specific details about all road improvements necessary to allow access to the site. COH
- e. A plan including itemized cost and schedule estimates for making all necessary upgrades to the site access. COH
- f. A written plan to finance all necessary upgrades to the site access. COH
- 8. The site must have rail service. The following documentation must be provided:

 BWSC will collect the necessary information and provide the appropriate drawings.

 Where noted below, information will be needed from the City of Huntsville or the Norfolk Southern Railroad (NS).
 - a. A letter from the rail provider indicating its feasibility and willingness to provide rail access to the proposed site. *NS*
 - b. A map of the existing rail infrastructure. NS
 - c. The plan (including the route, a cost, and a schedule) for providing rail to the proposed site. *NS*
 - d. Potential rail layouts for the proposed site with site boundaries identified. NS
 - e. If applicable, the applicant must also submit proof that rights-of-way for a rail extension are under control with either a Letter of Intent from the owner or an option. NS and/or COH

Zoning

- 9. The site must be zoned appropriately (if applicable). The following documentation must be provided: BWSC will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the City of Huntsville.
 - a. A map illustrating the current zoning for the site and surrounding areas. COH
 - b. Documentation that indicates the site's current zoning description and outlines the acceptable uses under the current zoning description. If the zoning for the area surrounding the site is different than the zoning for the site itself, a description of allowable uses under current zoning classifications for surrounding property must also be attached. COH

If the site is not zoned appropriately and the jurisdiction does require zoning, the applicant must **also** submit:

- c. A letter from authorized personnel indicating their willingness to consider a change to the property's zoning to allow for industrial uses. The letter should include an outline of the rezoning process and timeline for rezoning. COH
- 10. If zoning is not present in the jurisdiction in which the site is located, the applicant must submit a Comprehensive Land Use Plan or long-range plan. BWSC will collect the necessary information and provide the appropriate drawings with assistance from the City of Huntsville.

Utilities

- 11. The site must be served by transmission-level electric service. The applicant must submit the following documentation: *BWSC* will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the Tennessee Valley Authority (TVA).
 - a. A letter from the power supplier(s) addressing availability and time required to supply transmission-level electric service to the site. TVA
 - b. A map showing the location and voltage of the nearest electric infrastructure serving the site. TVA

If transmission-level electric infrastructure is not within 500 feet of the site, the applicant must **also** submit:

- c. An engineer's detailed plan for extending transmission-level electric service to the site and time required for extension. The plan must include a visual indicating the proposed extension and an itemized cost estimate. TVA
- d. Proof that rights-of-way for the extension are under control with either a Letter of Intent from the owner or an option. No documentation is needed if proposed extension is along a public right-of-way (i.e., state or county roads). TVA

- 12. The site must be served by natural gas. Natural gas service must provide at least 50,000 mcf per month. The applicant must submit the following documentation: BWSC will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the Huntsville Utilities (HU).
 - a. A letter from the natural gas supplier indicating the size of the natural gas line(s), feasibility for serving the site, and reliability of supply. *HU*
 - b. A map showing the location of the nearest natural gas line(s) serving the site. HU

If natural gas infrastructure to supply 50,000 mcf per month is not within 500 feet of the site, the applicant must **also** submit:

- c. An engineer's detailed plan for extending natural gas infrastructure to the site and time required for extension. The plan must include a visual indicating the proposed extension and an itemized cost estimate. HU
- d. Proof that rights-of-way for the extension are under control with either a Letter of Intent from the owner or an option. No documentation is needed if proposed extension is along a public right-of-way (i.e., state or county roads). HU
- 13. The site must have a potable water supply of at least 1,500,000 gallons per day. The applicant must attach the following documentation: *BWSC* will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the Huntsville Utilities (HU).
 - a. A letter from the water service provider certifying: HU
 - i. There is a minimum of 1,500,000 gallons per day of excess permitted capacity available.
 - ii. Ability and willingness of the water service provider to provide appropriate service to the proposed site,
 - iii. Documentation that the site is within 500 feet of existing water lines that can supply 1,500,000 gallons per day.
 - iv. Information on any encumbrances on the excess capacity including committed allocations and all known requests for additional capacity,
 - v. Documentation of the size, capacity, and utilization of the existing water treatment plant and any available water storage capacity in the vicinity of the site.
 - vi. Documentation of the size, capacity, and utilization of the existing water lines at the site and the static and residual pressures in the vicinity of the site.
 - b. A map showing the location and size of the nearest water line(s) serving the site. HU

If the water infrastructure to supply 1,500,000 gallons per day is not within 500 feet of the site, the applicant must **also** submit:

- c. An engineer's detailed plan for extending water service to the site and time required for extension. The plan must include a visual indicating the proposed extension and an itemized cost estimate. HU
- d. Proof that rights-of-way for the extension are under control with either a Letter of Intent from the owner or an option. No documentation is needed if proposed extension is along a public right-of-way (i.e., state or county roads). HU
- e. A written plan to finance the water extension upon request for service. HU

If the water system is not capable of providing 1,500,000 gallons perday, the applicant must **also** submit:

- f. An engineer's detailed plan for expanding the existing water treatment system and time required for expansion. The plan must include an itemized cost estimate. *HU*
- g. A copy of all required permits for the water system expansions. HU
- h. A written plan to finance the water system expansion upon request for service. HU
- 14. The site must be served by a wastewater system with a minimum excess capacity of 1,500,000 gallons per day. The applicant must submit the following documentation:

 BWSC will collect the necessary information and provide the appropriate drawings.

 Where noted below, information will be needed from the City of Huntsville.
 - a. A letter from the wastewater treatment service provider certifying: COH
 - i. There is a minimum of 1,500,000 gallons per day of excess permitted treatment capacity available.
 - ii. Ability and willingness of the wastewater treatment service provider to provide appropriate service to the proposed site,
 - iii. Documentation that the site is within 500 feet of existing wastewater lines that can handle 1,500,000 gallons per day and connection to these wastewater lines is technically and economically feasible,
 - iv. Information on any encumbrances on the excess capacity including committed allocations and all known requests for additional capacity,
 - v. Documentation of the permitted capacity, utilization and excess capacity of the treatment facility; and the type of treatment technology which is presently in use at the treatment facility.
 - vi. Documentation of the size, capacity, and utilization of the proposed collection lines, and the size and excess capacity of existing or proposed pumping facilities that are necessary to service the site.

b. A map showing the location and size of the nearest wastewater line(s) serving the site. COH

If the wastewater infrastructure to handle 1,500,000 gallons per day is not within 500 feet of the site, the applicant must **also** submit:

- c. An engineer's detailed plan for extending wastewater service to the site and time required for extension. The plan must include an itemized cost estimate and a visual indicating the proposed extension. *COH*
- d. Proof that right-of-way for the extension are under control with either a Letter of Intent from the owner or an option. No documentation is needed if proposed extension is along a public right-of-way (i.e., state or county roads). COH
- e. A written plan to finance the sewer extension upon request for service. COH

If the wastewater system is not capable of providing 1,500,000 gallons of treatment capacity per day, the applicant must *also* submit:

- f. An engineer's detailed plan for expanding the existing wastewater treatment system and time required for expansion. The plan must include an itemized cost estimate.
- g. A copy of all required permits for the wastewater system expansions. COH
- h. A written plan to finance the wastewater system upgrades upon request for service.
- 15. The site must be served by telecommunications infrastructure. The applicant must submit the following documentation: BWSC will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the Bell South Communications (BS).
 - a. A letter from the service provider indicating the type of services available at the site.

 BS
 - b. A map showing the location of the nearest telecommunications infrastructure serving the site. *BS*

If telecommunications infrastructure is not within 500 feet of the site, the applicant must **also** submit:

- c. An engineer's detailed plan for extending telecommunications infrastructure to the site and time required for extension. The plan must include a visual indicating the proposed extension and an itemized cost estimate. BS
- d. Proof that rights-of-way for the extension are under control with either a Letter of Intent from the owner or an option. No documentation is needed if proposed extension is along a public right-of-way (i.e., state or county roads). BS

Other Requirements

BWSC will collect the necessary information and provide the appropriate drawings. Where noted below, information will be needed from the City of Huntsville.

- 16. The site must be located in an area with a population of at least 100,000 people within a 30-mile radius or 250,000 people within a 60-mile radius. *COH*
- 17. The site cannot be located within a 50-mile radius of an existing automotive assembly operation. *COH*
- 18. The site must be at least two miles from open rock quarries or blast mining operations.

City of Huntsville

MegaSite Certification - Fee Breakdown

March 15, 2012

Based on the scope of work defined in the previous pages, the following brief defines the tasks utilized to generate our proposal costs.

FEE BREAKDOWN

Phase I Environmental Site Assessment: This will be a third-party service provided to BWSC for environmental evaluation of the selected properties.

Task Total \$3,600.00

Jurisdictional Wetlands Determination: This will be a third-party service provided to BWSC for the identification of Waters of the United States that may (or may not) exist within the boundary of the selected properties to be studied. Results to be coordinated with representatives of the United States Army Corps of Engineers (USACE).

Task Total \$8,200.00

Threatened and Endangered Species: This will be a third-party service provided to BWSC for the identification of natural habitats that may (or may not) exist within the boundary of the selected properties to be studied. Results to be coordinated with representatives of the United States Fish and Wildlife Service (USFWS).

Task Total \$300.00

Phase I Archeological Assessment: This will be a third-party service provided to BWSC for the identification of historically sensitive lands that may (or may not) exist within the boundary of the selected properties to be studied. A previous Phase I Assessment has been developed for the southern portion of the identified property, therefore, the scope of this work will focus on the remaining +/-400 acres of the northern limits of the subject site. Results to be coordinated with representatives of the Alabama Historical Commission (AHC).

Task Total \$16,250.00

Preliminary Geotechnical Exploration: This will be a third-party service provided to BWSC for the identification of in-situ soils that exist within the boundary of the selected properties to be studied. A previous Geotechnical Report, containing twelve (12) boring locations has been developed for the subject site, therefore, the scope of this work will focus on providing four (4) more boring locations to auger refusal. Results to be provided as an addendum to the previously developed report.

<u>Task Total</u> \$4,000.00

Topographic Survey: This service will be provided by BWSC in conjunction with aerial survey services to be provided by a third-party. This effort will generate one (1) foot contours for the proposed Sewell Mega Site, as well as for the proposed alignment of the new Greenbrier Parkway.

<u>Task Total</u> \$68,000.00

Boundary Survey: This service will be provided by BWSC. This effort will generate a plat and legal description of the Sewell property selected for Mega Site Certification.

<u>Task Total</u> \$30,000.00

Project Management: This service will be provided by BWSC. This effort will require the coordination and management of the aforementioned studies and assessments, as well as attendance and participation in progress meetings throughout the certification process.

<u>Task Total</u> \$25,000.00

Due Diligence: This service will be provided by BWSC. This effort will require the collection of site-specific information relative to the viability of development of the subject site. The collection of this information, preparation of drawings and other documentation related to utility infrastructure, transportation infrastructure, permitting, zoning, etc. will be utilized to complete the questionnaire to be submitted for review by the governing body responsible for Site Certification. See Scope of Services attached.

<u>Task Total</u> \$44,550.00

The above described task breakdown to achieve Site Certification represents a total investment of:

Fee Total \$199,950.00

SCHEDULE:

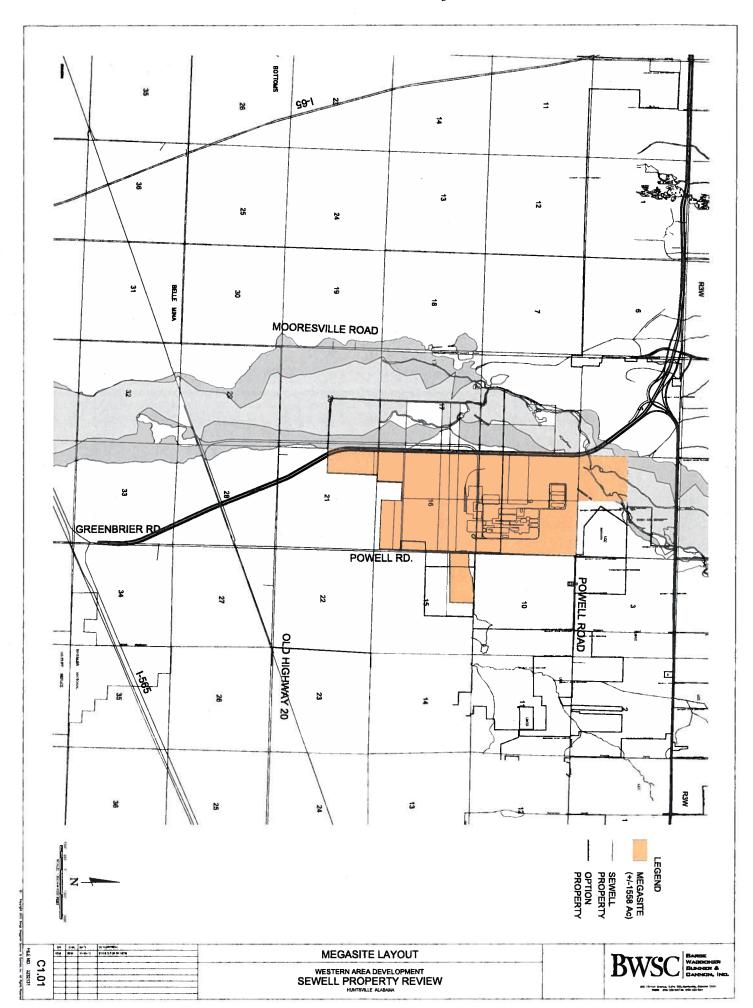
BWSC will commence with the above noted services immediately upon receipt of a Notice to Proceed. These activities will require seventy (70) days to complete.

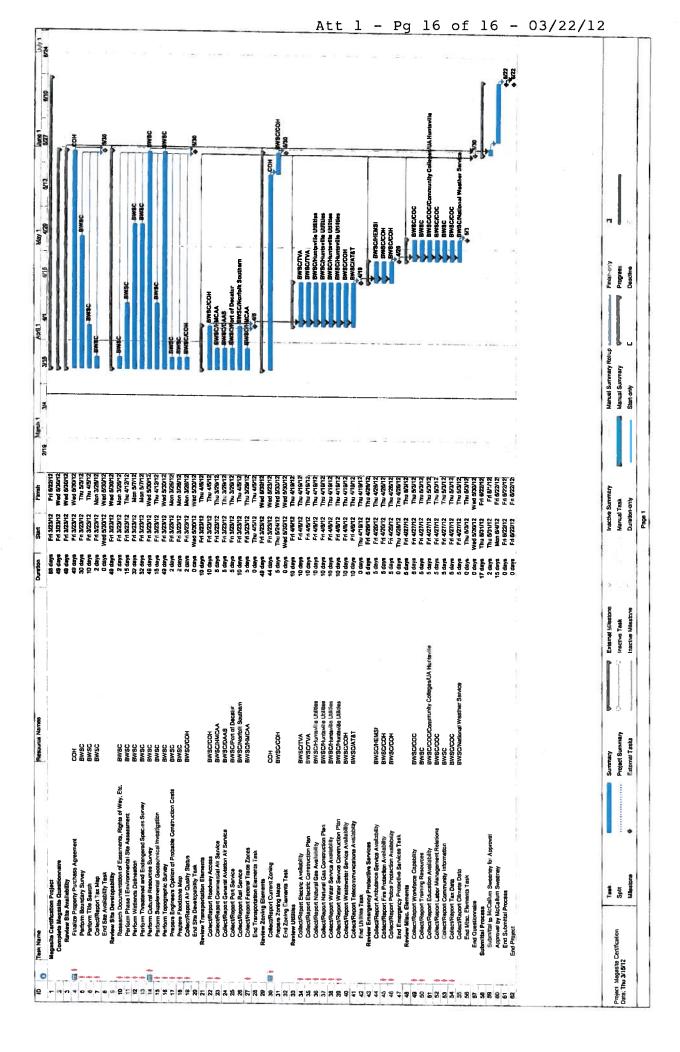
DELIVERABLES:

Upon completion of the tasks, BWSC will provide two (2) copies of a Certification Booklet: one bound, one loose. These booklets will contain hard copies of the certification questionnaire and all studies, maps, drawings, etc. developed for the successful certification submittal. Additional, one (1) DVD will be provided containing the aforementioned documents in electronic format for ease in distribution.

Additional Services:

Any services requested by the client not expressly detailed above may be provided based upon an agreed upon method of compensation prior to commencement. These services include additional due diligence not outlined in the previous tasks, public presentation participation, and/or development of marketing materials for showcasing the site.





	city of Huntsville, Alae	Bama report of ownership form
	Seneral Information. Please provide the following	ng Information:
a L	egal name(s) (include "doing business as", if app	Marke: Barge Waggoner Sumner & Cannon, Inc
(F	ity of Huntsville current texpayer Identification nu Please note that if this number has been assigned hould be listed on the renewal form.)	imber (if evallable): 97 d by the City and if you are renewing your business license, the numb
ât	ypo of Ownership. Please complete the <u>un-sha</u> nd entering the appropriate Entity I.D. Number, if aragraph C below):	<u>ded</u> portions of the following chart by checking the appropriate box be applicable (for an explanation of what an entity number is, please see
	Type of Concretify Shock expresents box	
	☐ Individual or Sale Proprietorship	Pat applia (16
0	2 General Partnership	On Applicable
ī	3 Limited Partnership (LP)	Number & State:
1	Umited Liability Partnership (LLP)	Number & State:
	Limited Liability Company (LLC) (Single Nember)	Number & State:
c	LLC (Multi-Member)	Number & State:
Ē	Corporation	Number & State: 2581 Tennessee
C	Other, please explain:	Number & State (if a filing entity under state law):
ave fore nan	iliable through the website of Alabama's Secretar algn entity is not registered in this state please pro ned called) assigned by the state of formation alo	7
cert app	lificates of incorporation, organization, or other ap	rd to entities, the entity's formation documents, including articles or opticable formation documents, as recorded in the probate records of suited unless; (1) specifically requested by the City, or (2) an Entity I or provided.
" yo	nes data and algn this form in the apace provided u are signing on behalf of an entity please insert nature:	below and either write legibly or type your name under your signature your title as well. Title (if applicable): Vice President
		n Date: March 16, 2012

D.

ATTACHMENT 3 E-VERIFY AFFIDAVIT & MOU

FORM FOR SECTIONS 9 (a) and (b) BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT; CODE OF ALABAMA, SECTIONS 31-13-9 (a) and (b)

AFFIDAVIT FOR BUSINESS ENTITY/EMPLOYER /CONTRACTOR

(To be completed as a condition for the award of any contract, grant, or incentive by the State of Alabama, any political subdivision thereof, or any state-funded entity to a business entity or employer that employs one or more employees)

State of Alabama	
County of <u>Madison</u>	56
Before me, a notary public, personally appeared Harry	M. Wilson (print name)
who, being duly sworn, says as follows:	
As a condition for the award of any contract, grant, or incent subdivision thereof, or any state-funded entity to a business	
more employees, I hereby attest that in my capacity as $- Vi$	
position) for Barge Waggoner Sumner & Canno	•
entity/employer/contractor name) that said business entity/	,
employ, hire for employment, or continue to employ an una	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
further attest that said business entity/employer/contracto	
ATTACH DOCUMENTATION ESTABLISHING THAT BUSINESS E	:NTITY/EMPLOYER/CONTRACTOR IS
ENROLLED IN THE E-VERIFY PROGRAM)	
) f will Signatur	re of Affiant
Sworn to and subscribed before me this $16 { t th}$ day of ${ t Mar}$	rch 2 012.
	ha kha idankiral manka ha ay aha alainsa ka ha
certify that the affiant is known (or made known) to me to be	be the identical party he of she claims to be
, I	
Michello A Huri Signature a	and Seal of Notary Public
llin.	
MY COMMISSION EXPIRES 9-8-2012	G
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THE E-VERIFY PROGRAM FOR EMPLOYMENT VERIFICATION MEMORANDUM OF UNDERSTANDING

ARTICLE I

PURPOSE AND AUTHORITY

This Memorandum of Understanding (MOU) sets forth the points of agreement between the Department of Homeland Security (DHS) and <u>Barge Waggoner Sumner & Cannon</u> (Employer) regarding the Employer's participation in the Employment Eligibility Verification Program (E-Verify). This MOU explains certain features of the E-Verify program and enumerates specific responsibilities of DHS, the Social Security Administration (SSA), and the Employer. E-Verify is a program that electronically confirms an employee's eligibility to work in the United States after completion of the Employment Eligibility Verification Form (Form I-9). For covered government contractors, E-Verify is used to verify the employment eligibility of all newly hired employees and all existing employees assigned to Federal contracts.

Authority for the E-Verify program is found in Title IV, Subtitle A, of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (IIRIRA), Pub. L. 104-208, 110 Stat. 3009, as amended (8 U.S.C. § 1324a note). Authority for use of the E-Verify program by Federal contractors and subcontractors covered by the terms of Subpart 22.18, "Employment Eligibility Verification", of the Federal Acquisition Regulation (FAR) (hereinafter referred to in this MOU as a "Federal contractor") to verify the employment eligibility of certain employees working on Federal contracts is also found in Subpart 22.18 and in Executive Order 12989, as amended.

<u>ARTICLE II</u>

FUNCTIONS TO BE PERFORMED

A. RESPONSIBILITIES OF SSA

- 1. SSA agrees to provide the Employer with available information that allows the Employer to confirm the accuracy of Social Security Numbers provided by all employees verified under this MOU and the employment authorization of U.S. citizens.
- 2. SSA agrees to provide to the Employer appropriate assistance with operational problems that may arise during the Employer's participation in the E-Verify program. SSA agrees to provide the Employer with names, titles, addresses, and telephone numbers of SSA representatives to be contacted during the E-Verify process.
- 3. SSA agrees to safeguard the information provided by the Employer through the E-Verify program procedures, and to limit access to such information, as is appropriate by law, to individuals responsible for the verification of Social Security Numbers and for evaluation of the E-Verify program or such other persons or entities who may be authorized by SSA as governed by the Privacy Act (5 U.S.C. § 552a), the Social Security Act (42 U.S.C. 1306(a)), and SSA regulations (20 CFR Part 401).





- 4. SSA agrees to provide a means of automated verification that is designed (in conjunction with DHS's automated system if necessary) to provide confirmation or tentative nonconfirmation of U.S. citizens' employment eligibility within 3 Federal Government work days of the initial inquiry.
- 5. SSA agrees to provide a means of secondary verification (including updating SSA records as may be necessary) for employees who contest SSA tentative nonconfirmations that is designed to provide final confirmation or nonconfirmation of U.S. citizens' employment eligibility and accuracy of SSA records for both citizens and aliens within 10 Federal Government work days of the date of referral to SSA, unless SSA determines that more than 10 days may be necessary. In such cases, SSA will provide additional verification instructions.

B. RESPONSIBILITIES OF DHS

- 1. After SSA verifies the accuracy of SSA records for aliens through E-Verify, DHS agrees to provide the Employer access to selected data from DHS's database to enable the Employer to conduct, to the extent authorized by this MOU:
 - · Automated verification checks on alien employees by electronic means, and
 - Photo verification checks (when available) on employees.
- 2. DHS agrees to provide to the Employer appropriate assistance with operational problems that may arise during the Employer's participation in the E-Verify program. DHS agrees to provide the Employer names, titles, addresses, and telephone numbers of DHS representatives to be contacted during the E-Verify process.
- 3. DHS agrees to provide to the Employer a manual (the E-Verify User Manual) containing instructions on E-Verify policies, procedures and requirements for both SSA and DHS, including restrictions on the use of E-Verify. DHS agrees to provide training materials on E-Verify.
- 4. DHS agrees to provide to the Employer a notice, which indicates the Employer's participation in the E-Verify program. DHS also agrees to provide to the Employer anti-discrimination notices issued by the Office of Special Counsel for Immigration-Related Unfair Employment Practices (OSC), Civil Rights Division, U.S. Department of Justice.
- 5. DHS agrees to issue the Employer a user identification number and password that permits the Employer to verify information provided by alien employees with DHS's database.
- 6. DHS agrees to safeguard the information provided to DHS by the Employer, and to limit access to such information to individuals responsible for the verification of alien employment eligibility and for evaluation of the E-Verify program, or to such other persons or entities as may be authorized by applicable law. Information will be used only to verify the accuracy of Social Security Numbers and employment eligibility, to enforce the Immigration and Nationality Act (INA) and Federal criminal laws, and to administer Federal contracting requirements.
- 7. DHS agrees to provide a means of automated verification that is designed (in conjunction with SSA verification procedures) to provide confirmation or tentative







nonconfirmation of employees' employment eligibility within 3 Federal Government work days of the initial inquiry.

8. DHS agrees to provide a means of secondary verification (including updating DHS records as may be necessary) for employees who contest DHS tentative nonconfirmations and photo non-match tentative nonconfirmations that is designed to provide final confirmation or nonconfirmation of the employees' employment eligibility within 10 Federal Government work days of the date of referral to DHS, unless DHS determines that more than 10 days may be necessary. In such cases, DHS will provide additional verification instructions.

C. RESPONSIBILITIES OF THE EMPLOYER

- 1. The Employer agrees to display the notices supplied by DHS in a prominent place that is clearly visible to prospective employees and all employees who are to be verified through the system.
- 2. The Employer agrees to provide to the SSA and DHS the names, titles, addresses, and telephone numbers of the Employer representatives to be contacted regarding E-Verify.
- 3. The Employer agrees to become familiar with and comply with the most recent version of the E-Verify User Manual.
- 4. The Employer agrees that any Employer Representative who will perform employment verification queries will complete the E-Verify Tutorial before that individual initiates any queries.
 - A. The Employer agrees that all Employer representatives will take the refresher tutorials initiated by the E-Verify program as a condition of continued use of E-Verify, including any tutorials for Federal contractors if the Employer is a Federal contractor.
 - B. Failure to complete a refresher tutorial will prevent the Employer from continued use of the program.
- 5. The Employer agrees to comply with current Form I-9 procedures, with two exceptions:
 - If an employee presents a "List B" identity document, the Employer agrees to only accept "List B" documents that contain a photo. (List B documents identified in 8 C.F.R. § 274a.2(b)(1)(B)) can be presented during the Form I-9 process to establish identity.) If an employee objects to the photo requirement for religious reasons, the Employer should contact E-Verify at 888-464-4218.
 - If an employee presents a DHS Form I-551 (Permanent Resident Card) or Form I-766 (Employment Authorization Document) to complete the Form I-9, the Employer agrees to make a photocopy of the document and to retain the photocopy with the employee's Form I-9. The employer will use the photocopy to verify the photo and to assist DHS with its review of photo non-matches that are contested by employees. Note that employees retain the right to present any List A, or List B and List C, documentation to complete the Form I-9. DHS may in the future designate other documents that activate the photo screening tool.







- The Employer understands that participation in E-Verify does not exempt the Employer from the responsibility to complete, retain, and make available for inspection Forms I-9 that relate to its employees, or from other requirements of applicable regulations or laws, including the obligation to comply with the antidiscrimination requirements of section 274B of the INA with respect to Form I-9 procedures, except for the following modified requirements applicable by reason of the Employer's participation in E-Verify: (1) identity documents must have photos, as described in paragraph 5 above; (2) a rebuttable presumption is established that the Employer has not violated section 274A(a)(1)(A) of the Immigration and Nationality Act (INA) with respect to the hiring of any individual if it obtains confirmation of the identity and employment eligibility of the individual in compliance with the terms and conditions of E-Verify; (3) the Employer must notify DHS if it continues to employ any employee after receiving a final nonconfirmation, and is subject to a civil money penalty between \$550 and \$1,100 for each failure to notify DHS of continued employment following a final nonconfirmation; (4) the Employer is subject to a rebuttable presumption that it has knowingly employed an unauthorized alien in violation of section 274A(a)(1)(A) if the Employer continues to employ an employee after receiving a final nonconfirmation; and (5) no person or entity participating in E-Verify is civilly or criminally liable under any law for any action taken in good faith based on information provided through the confirmation system. DHS reserves the right to conduct Form I-9 compliance inspections during the course of E-Verify, as well as to conduct any other enforcement activity authorized by law.
- 7. The Employer agrees to initiate E-Verify verification procedures for new employees within 3 Employer business days after each employee has been hired (but after both sections 1 and 2 of the Form I-9 have been completed), and to complete as many (but only as many) steps of the E-Verify process as are necessary according to the E-Verify User Manual. The Employer is prohibited from initiating verification procedures before the employee has been hired and the Form I-9 completed. If the automated system to be queried is temporarily unavailable, the 3-day time period is extended until it is again operational in order to accommodate the Employer's attempting, in good faith, to make inquiries during the period of unavailability. In all cases, the Employer must use the SSA verification procedures first, and use DHS verification procedures and photo screening tool only after the SSA verification response has been given. Employers may initiate verification by notating the Form I-9 in circumstances where the employee has applied for a Social Security Number (SSN) from the SSA and is waiting to receive the SSN, provided that the Employer performs an E-Verify employment verification query using the employee's SSN as soon as the SSN becomes available.
- 8. The Employer agrees not to use E-Verify procedures for pre-employment screening of job applicants, in support of any unlawful employment practice, or for any other use not authorized by this MOU. Employers must use E-Verify for all new employees, unless an Employer is a Federal contractor that qualifies for the exceptions described in Article II.D.1.c. Except as provided in Article II.D, the Employer will not verify selectively and will not verify employees hired before the effective date of this MOU. The Employer understands that if the Employer uses E-Verify procedures for any purpose other than as authorized by this MOU, the Employer may be subject to appropriate legal action and termination of its access to SSA and DHS information pursuant to this MOU.
- 9. The Employer agrees to follow appropriate procedures (see Article III. below) regarding tentative nonconfirmations, including notifying employees of the finding, providing written referral instructions to employees, allowing employees to contest the finding, and not taking





adverse action against employees if they choose to contest the finding. Further, when employees contest a tentative nonconfirmation based upon a photo non-match, the Employer is required to take affirmative steps (see Article III.B. below) to contact DHS with information necessary to resolve the challenge.

- The Employer agrees not to take any adverse action against an employee based upon the employee's perceived employment eligibility status while SSA or DHS is processing the verification request unless the Employer obtains knowledge (as defined in 8 C.F.R. § 274a.1(I)) that the employee is not work authorized. The Employer understands that an initial inability of the SSA or DHS automated verification system to verify work authorization, a tentative nonconfirmation, a case in continuance (indicating the need for additional time for the government to resolve a case), or the finding of a photo non-match, does not establish, and should not be interpreted as evidence, that the employee is not work authorized. In any of the cases listed above, the employee must be provided a full and fair opportunity to contest the finding, and if he or she does so, the employee may not be terminated or suffer any adverse employment consequences based upon the employee's perceived employment eligibility status (including denying, reducing, or extending work hours, delaying or preventing training, requiring an employee to work in poorer conditions, refusing to assign the employee to a Federal contract or other assignment, or otherwise subjecting an employee to any assumption that he or she is unauthorized to work) until and unless secondary verification by SSA or DHS has been completed and a final nonconfirmation has been issued. If the employee does not choose to contest a tentative nonconfirmation or a photo non-match or if a secondary verification is completed and a final nonconfirmation is issued, then the Employer can find the employee is not work authorized and terminate the employee's employment. Employers or employees with questions about a final nonconfirmation may call E-Verify at 1-888-464-4218 or OSC at 1-800-255-8155 or 1-800-237-2515 (TDD).
- 11. The Employer agrees to comply with Title VII of the Civil Rights Act of 1964 and section 274B of the INA by not discriminating unlawfully against any individual in hiring, firing, or recruitment or referral practices because of his or her national origin or, in the case of a protected individual as defined in section 274B(a)(3) of the INA, because of his or her citizenship status. The Employer understands that such illegal practices can include selective verification or use of E-Verify except as provided in part D below, or discharging or refusing to hire employees because they appear or sound "foreign" or have received tentative nonconfirmations. The Employer further understands that any violation of the unfair immigration-related employment practices provisions in section 274B of the INA could subject the Employer to civil penalties, back pay awards, and other sanctions, and violations of Title VII could subject the Employer to back pay awards, compensatory and punitive damages. Violations of either section 274B of the INA or Title VII may also lead to the termination of its participation in E-Verify. If the Employer has any questions relating to the anti-discrimination provision, it should contact OSC at 1-800-255-8155 or 1-800-237-2515 (TDD).
- 12. The Employer agrees to record the case verification number on the employee's Form I-9 or to print the screen containing the case verification number and attach it to the employee's Form I-9.
- 13. The Employer agrees that it will use the information it receives from SSA or DHS pursuant to E-Verify and this MOU only to confirm the employment eligibility of employees as







authorized by this MOU. The Employer agrees that it will safeguard this information, and means of access to it (such as PINS and passwords) to ensure that it is not used for any other purpose and as necessary to protect its confidentiality, including ensuring that it is not disseminated to any person other than employees of the Employer who are authorized to perform the Employer's responsibilities under this MOU, except for such dissemination as may be authorized in advance by SSA or DHS for legitimate purposes.

- 14. The Employer acknowledges that the information which it receives from SSA is governed by the Privacy Act (5 U.S.C. § 552a(i)(1) and (3)) and the Social Security Act (42 U.S.C. 1306(a)), and that any person who obtains this information under false pretenses or uses it for any purpose other than as provided for in this MOU may be subject to criminal penalties.
- 15. The Employer agrees to cooperate with DHS and SSA in their compliance monitoring and evaluation of E-Verify, including by permitting DHS and SSA, upon reasonable notice, to review Forms I-9 and other employment records and to interview it and its employees regarding the Employer's use of E-Verify, and to respond in a timely and accurate manner to DHS requests for information relating to their participation in E-Verify.

D. RESPONSIBILITIES OF FEDERAL CONTRACTORS

- 1. The Employer understands that if it is a Federal contractor subject to the employment verification terms in Subpart 22.18 of the FAR it must verify the employment eligibility of any "employee assigned to the contract" (as defined in FAR 22.1801) in addition to verifying the employment eligibility of all other employees required to be verified under the FAR. Once an employee has been verified through E-Verify by the Employer, the Employer may not reverify the employee through E-Verify.
- a. Federal contractors not enrolled at the time of contract award: An Employer that is not enrolled in E-Verify as a Federal contractor at the time of a contract award must enroll as a Federal contractor in the E-Verify program within 30 calendar days of contract award and, within 90 days of enrollment, begin to use E-Verify to initiate verification of employment eligibility of new hires of the Employer who are working in the United States, whether or not assigned to the contract. Once the Employer begins verifying new hires, such verification of new hires must be initiated within 3 business days after the date of hire. Once enrolled in E-Verify as a Federal contractor, the Employer must initiate verification of employees assigned to the contract within 90 calendar days after the date of enrollment or within 30 days of an employee's assignment to the contract, whichever date is later.
- b. Federal contractors already enrolled at the time of a contract award: Employers enrolled in E-Verify as a Federal contractor for 90 days or more at the time of a contract award must use E-Verify to initiate verification of employment eligibility for new hires of the Employer who are working in the United States, whether or not assigned to the contract, within 3 business days after the date of hire. If the Employer is enrolled in E-Verify as a Federal contractor for 90 calendar days or less at the time of contract award, the Employer must, within 90 days of enrollment, begin to use E-Verify to initiate verification of new hires of the contractor who are working in the United States, whether or not assigned to the contract. Such verification of new hires must be initiated within 3 business days after the date of hire. An Employer enrolled as a Federal contractor in E-Verify must initiate verification of each employee assigned to the







contract within 90 calendar days after date of contract award or within 30 days after assignment to the contract, whichever is later.

- c. Institutions of higher education, State, local and tribal governments and sureties: Federal contractors that are institutions of higher education (as defined at 20 U.S.C. 1001(a)), State or local governments, governments of Federally recognized Indian tribes, or sureties performing under a takeover agreement entered into with a Federal agency pursuant to a performance bond may choose to only verify new and existing employees assigned to the Federal contract. Such Federal contractors may, however, elect to verify all new hires, and/or all existing employees hired after November 6, 1986. The provisions of Article II.D, paragraphs 1.a and 1.b of this MOU providing timeframes for initiating employment verification of employees assigned to a contract apply to such institutions of higher education, State, local and tribal governments, and sureties.
- d. Verification of all employees: Upon enrollment, Employers who are Federal contractors may elect to verify employment eligibility of all existing employees working in the United States who were hired after November 6, 1986, instead of verifying only those employees assigned to a covered Federal contract. After enrollment, Employers must elect to do so only in the manner designated by DHS and initiate E-Verify verification of all existing employees within 180 days after the election.
- Form I-9 procedures for Federal contractors: The Employer may use a previously completed Form I-9 as the basis for initiating E-Verify verification of an employee assigned to a contract as long as that Form I-9 is complete (including the SSN), complies with Article II.C.5, the employee's work authorization has not expired, and the Employer has reviewed the information reflected in the Form I-9 either in person or in communications with the employee to ensure that the employee's stated basis in section 1 of the Form I-9 for work authorization has not changed (including, but not limited to, a lawful permanent resident alien having become a naturalized U.S. citizen). If the Employer is unable to determine that the Form I-9 complies with Article II.C.5, if the employee's basis for work authorization as attested in section 1 has expired or changed, or if the Form I-9 contains no SSN or is otherwise incomplete, the Employer shall complete a new I-9 consistent with Article II.C.5, or update the previous I-9 to provide the necessary information. If section 1 of the Form I-9 is otherwise valid and up-todate and the form otherwise complies with Article II.C.5, but reflects documentation (such as a U.S. passport or Form I-551) that expired subsequent to completion of the Form I-9, the Employer shall not require the production of additional documentation, or use the photo screening tool described in Article II.C.5, subject to any additional or superseding instructions that may be provided on this subject in the E-Verify User Manual. Nothing in this section shall be construed to require a second verification using E-Verify of any assigned employee who has previously been verified as a newly hired employee under this MOU, or to authorize verification of any existing employee by any Employer that is not a Federal contractor.
- 2. The Employer understands that if it is a Federal contractor, its compliance with this MOU is a performance requirement under the terms of the Federal contract or subcontract, and the Employer consents to the release of information relating to compliance with its verification responsibilities under this MOU to contracting officers or other officials authorized to review the Employer's compliance with Federal contracting requirements.





ARTICLE III

REFERRAL OF INDIVIDUALS TO SSA AND DHS

A. REFERRAL TO SSA

- 1. If the Employer receives a tentative nonconfirmation issued by SSA, the Employer must print the tentative nonconfirmation notice as directed by the automated system and provide it to the employee so that the employee may determine whether he or she will contest the tentative nonconfirmation.
- 2. The Employer will refer employees to SSA field offices only as directed by the automated system based on a tentative nonconfirmation, and only after the Employer records the case verification number, reviews the input to detect any transaction errors, and determines that the employee contests the tentative nonconfirmation. The Employer will transmit the Social Security Number to SSA for verification again if this review indicates a need to do so. The Employer will determine whether the employee contests the tentative nonconfirmation as soon as possible after the Employer receives it.
- 3. If the employee contests an SSA tentative nonconfirmation, the Employer will provide the employee with a system-generated referral letter and instruct the employee to visit an SSA office within 8 Federal Government work days. SSA will electronically transmit the result of the referral to the Employer within 10 Federal Government work days of the referral unless it determines that more than 10 days is necessary. The Employer agrees to check the E-Verify system regularly for case updates.
- 4. The Employer agrees not to ask the employee to obtain a printout from the Social Security Number database (the Numident) or other written verification of the Social Security Number from the SSA.

B. REFERRAL TO DHS

- 1. If the Employer receives a tentative nonconfirmation issued by DHS, the Employer must print the tentative nonconfirmation notice as directed by the automated system and provide it to the employee so that the employee may determine whether he or she will contest the tentative nonconfirmation.
- 2. If the Employer finds a photo non-match for an employee who provides a document for which the automated system has transmitted a photo, the employer must print the photo non-match tentative nonconfirmation notice as directed by the automated system and provide it to the employee so that the employee may determine whether he or she will contest the finding.
- 3. The Employer agrees to refer individuals to DHS only when the employee chooses to contest a tentative nonconfirmation received from DHS automated verification process or when the Employer issues a tentative nonconfirmation based upon a photo non-match. The Employer will determine whether the employee contests the tentative nonconfirmation as soon as possible







after the Employer receives it.

- 4. If the employee contests a tentative nonconfirmation issued by DHS, the Employer will provide the employee with a referral letter and instruct the employee to contact DHS through its toll-free hotline (as found on the referral letter) within 8 Federal Government work days.
- 5. If the employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will provide the employee with a referral letter to DHS. DHS will electronically transmit the result of the referral to the Employer within 10 Federal Government work days of the referral unless it determines that more than 10 days is necessary. The Employer agrees to check the E-Verify system regularly for case updates.
- 6. The Employer agrees that if an employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will send a copy of the employee's Form I-551 or Form I-766 to DHS for review by:
 - Scanning and uploading the document, or
 - Sending a photocopy of the document by an express mail account (furnished and paid for by DHS).
- 7. The Employer understands that if it cannot determine whether there is a photo match/non-match, the Employer is required to forward the employee's documentation to DHS by scanning and uploading, or by sending the document as described in the preceding paragraph, and resolving the case as specified by the Immigration Services Verifier at DHS who will determine the photo match or non-match.

ARTICLE IV

SERVICE PROVISIONS

SSA and DHS will not charge the Employer for verification services performed under this MOU. The Employer is responsible for providing equipment needed to make inquiries. To access the E-Verify System, an Employer will need a personal computer with Internet access.

ARTICLE V

PARTIES

A. This MOU is effective upon the signature of all parties, and shall continue in effect for as long as the SSA and DHS conduct the E-Verify program unless modified in writing by the mutual consent of all parties, or terminated by any party upon 30 days prior written notice to the others. Any and all system enhancements to the E-Verify program by DHS or SSA, including but not limited to the E-Verify checking against additional data sources and instituting new verification procedures, will be covered under this MOU and will not cause the need for a supplemental MOU that outlines these changes. DHS agrees to train employers on all changes made to E-Verify through the use of mandatory refresher tutorials and updates to the E-Verify User Manual. Even without changes to E-Verify, DHS reserves the right to require employers to take







mandatory refresher tutorials. An Employer that is a Federal contractor may terminate this MOU when the Federal contract that requires its participation in E-Verify is terminated or completed. In such a circumstance, the Federal contractor must provide written notice to DHS. If an Employer that is a Federal contractor fails to provide such notice, that Employer will remain a participant in the E-Verify program, will remain bound by the terms of this MOU that apply to non-Federal contractor participants, and will be required to use the E-Verify procedures to verify the employment eligibility of all newly hired employees.

- B. Notwithstanding Article V, part A of this MOU, DHS may terminate this MOU if deemed necessary because of the requirements of law or policy, or upon a determination by SSA or DHS that there has been a breach of system integrity or security by the Employer, or a failure on the part of the Employer to comply with established procedures or legal requirements. The Employer understands that if it is a Federal contractor, termination of this MOU by any party for any reason may negatively affect its performance of its contractual responsibilities.
- C. Some or all SSA and DHS responsibilities under this MOU may be performed by contractor(s), and SSA and DHS may adjust verification responsibilities between each other as they may determine necessary. By separate agreement with DHS, SSA has agreed to perform its responsibilities as described in this MOU.
- D. Nothing in this MOU is intended, or should be construed, to create any right or benefit, substantive or procedural, enforceable at law by any third party against the United States, its agencies, officers, or employees, or against the Employer, its agents, officers, or employees.
- E. Each party shall be solely responsible for defending any claim or action against it arising out of or related to E-Verify or this MOU, whether civil or criminal, and for any liability wherefrom, including (but not limited to) any dispute between the Employer and any other person or entity regarding the applicability of Section 403(d) of IIRIRA to any action taken or allegedly taken by the Employer.
- F. The Employer understands that the fact of its participation in E-Verify is not confidential information and may be disclosed as authorized or required by law and DHS or SSA policy, including but not limited to, Congressional oversight, E-Verify publicity and media inquiries, determinations of compliance with Federal contractual requirements, and responses to inquiries under the Freedom of Information Act (FOIA).
- G. The foregoing constitutes the full agreement on this subject between DHS and the Employer.
- H. The individuals whose signatures appear below represent that they are authorized to enter into this MOU on behalf of the Employer and DHS respectively.





Employer Barge Waggoner Sumner & Cannon

To be accepted as a participant in E-Verify, you should only sign the Employer's Section of the signature page. If you have any questions, contact E-Verify at 888-464-4218.

Joseph D Blackwelder		
Name (Please Type or Print)	Title	
Electronically Signed	09/09/2009	
Signature	Date	
Department of Homeland Security – Veri	ucation division	
USCIS Verification Division		
USCIS Verification Division Name (Please Type or Print)	Title	
	Title 09/09/2009	





Information relating to your	Company:
Company Name:	Barge Waggoner Sumner & Cannon
Company Facility Address:	211 Commerce Street, Suite 600
	Nashville, TN 37201
9	
Company Alternate Address:	
71001000	
County or Parish:	DAVIDSON

Information Required for the E-Verify Program

North American Industry **Classification Systems**

Employer Identification

Code: 541

Number: 620525827

Parent Company:

Number of Employees: 100 to 499

Number of Sites Verified

Are you verifying for more than 1 site? If yes, please provide the number of sites verified for in each State:

ALABAMA

3 site(s)





TENNESSEE

site(s)

OHIO

site(s)

Information relating to the Program Administrator(s) for your Company on policy questions or operational problems:

Name: Telephone Number: Karen A Presley

(615) 252 - 4499

Fax Number: (615) 252 - 4455

Karen.Presley@bwsc.net

Name:

Name:

Joseph D Blackwelder

Tiffany.Coursey@bwsc.net

(615) 252 - 4245

Fax Number:

(615) 255 - 6572

Telephone Number: E-mail Address:

E-mail Address:

Telephone Number:

E-mail Address:

Denis.Blackwelder@bwsc.net

Tiffany I Coursey

(615) 252 - 4473

Fax Number:

(615) 252 - 4454

ATTACHMENT 4 - CITY OF HUNTSVILLE STANDARDS AND DESIGN GUIDES

- 1. City of Huntsville Standard Specifications for Construction of Public Improvements, Contract Projects, 1991.
- 2. City of Huntsville Mapping services Standards, 1991.
- 3. City of Huntsville Design and Acceptance Manual for Force Mains and Pump Stations, 2011.
- 4. City of Huntsville Design and Acceptance Manual for Sanitary Sewers, 2011.
- 5. Alabama Department of Transportation Standard Specifications for Highway Construction, Current Edition.
- 6. City of Huntsville Subdivision Regulations, 1991.

ATTACHMENT 5 DESIGN REVIEWS

N/A

0% COMPLETE - PRE-DESIGN CONFERENCE

The ENGINEER shall meet with the OWNER at a 0% complete - Pre-Design Conference. The OWNER's representative (Project Engineer) will be introduced.

CONFERENCE FORMAT

The pre-design meeting will we initiated by the OWNER. The purpose of the conference will be to give the ENGINEER an opportunity to discuss the design of the PROJECT, to visit the PROJECT site, to receive copies of OWNER -furnished documents, if applicable, and to meet the OWNER'S Project Engineer and other personnel working on the PROJECT.

ATTENDEES: (Required)

- ENGINEER
- ALDOT (as appropriate for the type of project)
- Real Estate

- Landscape Management
- Utilities
- Traffic Engineering
- Planning

DISCUSSION TOPICS:

- Authority of OWNERS representative (Written submittal made to the ENGINEER)
- Scope of Work
- Time Requirements
- Budget Restraints
- Testing Requirements
- Permit Responsibilities
- Design criteria
- LC&E requirements
- Plan Requirements
- Special Conditions

- Utility Project
 Notification and a list of all utilities that need to be contacted.
- Tree Ordinance

<u>N/A</u>

REQUIRED SUBMITTALS TO THE PROJECT ENGINEER

- 1. A Certificate of Insurance for the ENGINEER and the ENGINEER's subconsultants shall be submitted to the OWNER's PROJECT ENGINEER per Section 10.6.
- 2. Prior to the Pre-Design Conference, a completed draft design criteria document shall be prepared to the best of the ENGINEER'S ability and in conformance with his fee proposal and will serve as the basis of a discussion topic during the Pre-Design Conference. A final version of the design criteria based upon discussion during the meeting shall be prepared by the ENGINEER and distributed with the meeting minutes. A copy of a design criteria format may be found on the City of Huntsville web site at http://www.huntsvilleal.gov/engineering/index.php.
- 3. Within seven (7) calendar days of the 0% Complete Pre-Design Conference, the ENGINEER shall submit to the OWNER's Project Engineer two color copies and an electronic copy of a schedule in Microsoft Projects format showing the critical path and indicating the time frame for the required milestone events and submittals outlined in this document. The schedule shall support a PROJECT completion date in accordance with the Period Of Services in Article 5. When approved, a baseline of the schedule shall be saved from which variances in the schedule can be measured and evaluated.

ATTACHMENT 5 DESIGN REVIEWS

N/A

30% COMPLETE - CONCEPTUAL DESIGN

This design review is to show the OWNER how the functional and technical requirements will be met, to indicate the ENGINEER's approach to the solution of technical problems, to show compliance with design criteria or to justify noncompliance and to provide an estimate of probable cost. A field review shall be conducted at this juncture with the OWNER's staff and the ENGINEER to review the proposed field alignment of the PROJECT.

CONFERENCE FORMAT

ATTENDEES: (Required)

- Real Estate
- Landscape Management
- Utilities
- Traffic Engineering
- Planning
- City of Huntsville Construction Project Manager
- City of Huntsville Inspector
- City of Huntsville Environmental Representative

DISCUSSION TOPICS:

- ENGINEER presents recommended design/solutions along with other options and alternatives considered.
- ENGINEER presents updates on progress of permitting requirements
- ENGINEER presents progress on coordination with other project participants such as the State
 of Alabama, sub consultants, etc.
- ENGINEER presents budgetary constraints

REQUIRED SUBMITTALS TO THE PROJECT ENGINEER

- 1. A preliminary list of all permits to be obtained with associated fees.
- 2. An updated schedule in Microsoft Projects format showing the critical path shall be submitted.
- 3. Two color copies and an electronic copy of an updated schedule in Microsoft Projects format showing the critical path shall be submitted.

ATTACHMENT 5 DESIGN REVIEWS

N/A

60% COMPLETE - PRELIMINARY DESIGN CRITERIA

The review of the PROJECT at this point is primarily to insure that funding limitations are not being exceeded and to insure that the contract documents, design analysis and cost estimates are proceeding in a timely manner, and that the design criteria and previous review comments are being correctly interpreted. An additional review may be required by the OWNER to review changes proposed from previous submittals.

CONFERENCE FORMAT

ATTENDEES: (Required)

- Real Estate
- Landscape Management
- Utilities
- Traffic Engineering
- Planning
- City of Huntsville Construction Project Manager
- City of Huntsville Inspector
- City of Huntsville Environmental Representative

DISCUSSION TOPICS:

- Additional land acquisition needs, as required.
- Utility Project Notification and a list of all utilities that need to be contacted.
- Technical specifications for special construction items not covered under standard specifications or deviations from standard specifications.
- Update on progress of permitting requirements.
- Erosion control plan requirements, if required by the OWNER.
- Budget constraints.
- Progress on coordination with other project participants such as the City of Huntsville Real Estate Officers (Engineering Department), State of Alabama, sub consultants, etc.

N/A

REQUIRED SUBMITTALS TO THE PROJECT ENGINEER

- 1. One full size print copy and one ½ size print copy of all drawings that have incorporated previous comments shall be submitted. Plan/Profile drawings shall be 75% complete. Right-of way drawings shall be 100% complete at this submittal (reference Real Estate Division Plan Requirements Section entitled DRAWINGS, included at the end of this proposal)
- An update to the schedule in Microsoft Projects format showing the critical path shall be submitted.
- 3. Unless determined to be inapplicable by the OWNER, Hydraulic reports 75% complete, shall be submitted.
- 4. Three (3) copies of preliminary plans for utilities shall be submitted.
- Legal descriptions for takings shall be submitted. The information shall be 100% complete. (reference Real Estate Division Plan Requirements Section entitled DESCRIPTIONS, included at the end of this proposal)
- 6. Traffic Control Plan, if required. Plan shall be 60% complete at this submittal.
- 7. Detailed preliminary construction cost estimate shall be submitted.
- 8. Results of geotechnical investigations shall be submitted.
- 9. A list of comments made at the 30% review and a summary of each resolution.
- 10. Two color copies and an electronic copy of an update to the schedule in Microsoft Projects format showing the critical path shall be submitted.

ATTACHMENT 5 DESIGN REVIEWS

N/A

90% COMPLETE - FINAL REVIEW

The review of this submittal is to ensure that the design is in accordance with directions provided the ENGINEER during the design process.

CONFERENCE FORMAT

DISCUSSION TOPICS

Discussion topics will be handled open forum.

REQUIRED SUBMITTALS TO THE PROJECT ENGINEER

- 1. One full size print copy and one ½ size print copy of all drawings that have incorporated previous comments shall be submitted. Submittals include Plan/Profile drawings, Construction Details, Detailed cross-sections with cut and fill quantities and storm and sanitary sewer crossings, Erosion control plan, if required, Technical specifications, Right-of way drawings, Traffic Control Plan, Plans for Utilities, Signed Acceptance of Utility Project Notification Form by all affected parties, Design Calculations, and a final cost estimate. All submittals shall be 100% complete.
- 2. Any changes to Land Acquisition needs shall be identified and Legal descriptions for the changes shall be submitted.
- 3. A list of comments made at the 60% review and a summary of each resolution.
- 4. Calculations showing how quantities were determined for each bid item and how the item is to be measured in the field and paid. Three bound copies of corrected quantity calculations to match bid quantities. The following shall be required for each item:
 - Item Number
 - Item Description with standard specification used
 - Detailed calculation to include all measurements, conversion factors, and "standard" weights used
 - Final "calculated" amount and any "increased" amounts
 - Notes to include any deviation from referenced standard specifications

Engineer

ATTACHMENT 5 DESIGN REVIEWS

N/A

100% COMPLETE - READY TO ADVERTISE

After the 90% review, the ENGINEER shall revise the construction documents by incorporating any comments generated during the previous design reviews. The ENGINEER shall prepare final hard copy contract specifications, prepare a bid form, and update the cost estimate as necessary.

CONFERENCE FORMAT

This is a submittal only. Return this sheet with submittal

YES	NO	REQ	UIRED SUBMITTALS TO THE PROJECT ENGINEER
		1.	Two (2) sets of complete construction drawing prints sized 24" x 36" sealed and marked "ISSUED FOR CONSTRUCTION". Drawings information shall be referenced to Alabama State Plane Coordinate system, NAD1983 Alabama East Zone as described in the <u>Code of Alabama</u> (1975), Section 35-2-1. Surveys shall be tied to a minimum of two accepted GPS monuments or one GPS tie point plus an astronomic observation to determine grid north or GPS Survey.
		2.	One (1) Micro station digital and One (1) digital file in either .tiff or .pdf format of construction drawings (must be signed and sealed) – sized 11" x 17".
		3.	Two (2) sets of right-of-way drawing prints sized 24" x 36" sealed and marked "ISSUED FOR CONSTRUCTION". Drawings information shall be referenced to Alabama State Plane Coordinate system. NAD1983 Alabama East Zone
		4.	One (1) Micro station digital file of right-of-way drawings.
0		5.	Two (2) print sets of 8-1/2" x 11" legal descriptions for right-of-way (REVISED SETS ONLY)
		6.	One (1) digital text file of legal descriptions for right-of-way (REVISED FILE ONLY)
		7.	One (1) print copy of Final Construction Cost Estimate.
		8.	One (1) digital spread sheet file of Final Construction Cost Estimate.
		9.	Three (3) printed and bound copies of corrected quantity calculations to match Final Bid Quantities.
		10.	One (1) digital spread sheet file (Excel 2003 format) of Final Bid Quantities.
		11:	Two (2) print sets of contract specifications.
		12:	One (1) digital text file of contract specifications.
		13.	One (1) complete set of signed and sealed calculations.
		14.	One (1) complete set of all approved permits including Location, Character, and Extent, COE, ADEM, etc.
		15:	One (1) complete set of all field notes.
		16.	One (1) copy of digital aerial photography obtained for this PROJECT in (.tif) format, as necessary.
		17.	Utility Project Notification forms and a list of all utilities that need to be contacted.

BARGE, WAGGONER, SUMNER AND CANNON, INC.

ENGINEERS - ARCHITECTS - PLANNERS

SCHEDULE OF STANDARD CHARGES

2012 CITY OF HUNTSVILLE STANDARD

HOURLY- RATE BASIS

Principal Engineer, PE	\$198.00
Senior Structural Engineer, PE	\$158.00
Structural Engineer, PE	\$108.00
Senior Civil Engineer, PE	\$155.00
Civil Engineer, PE	\$120.00
Civil Engineer, El	\$ 80.00
Professional Land Surveyor	\$104.00
Assistant Survey Manager	\$ 84.00
2-Man Survey Crew	\$119.00
3-Man Survey Crew	\$160.00
Construction Inspector	\$ 92.00
Senior CADD Designer	\$ 92.00
CADD Designer	\$ 92.00
CADD Technician	\$ 92.00
Administrative Personnel	\$ 67.00

Outside services contracted for a specific project, such as professional and technical consultants, laboratory testing, reproduction, photography, etc. will be invoiced at the amount of the subcontractor's statement plus 5 percent.

Other expenses which are properly chargeable to the work will be invoiced as follows:

- a. In-House printing, reproduction and photography charges at commercial rates.
- b. Travel and living expenses for all personnel when required to be away from headquarters in connection with the work at cost.

Statements will be issued on a monthly basis.

THESE RATES WILL REMAIN IN EFFECT THROUGH THE DURATION OF CALENDAR YEAR 2012.

ATTACHMENT 7 - PROGRESS REPORT (Article 8)

PROGRESS REPORT NO FO	R MONTH AND YEAR				
PROJECT	DJECTPROJECT NO				
DATE CITY'S PROJECT E	NGINEER				
CONSULTANT	CONSULTANT'S PROJ. MAN.				
CURRENT MONTH % COMPLETE:	PREV. MONTH % COMPLETE:				
ATTACH A "SHOULD HAVE STARTED TAS MICROSOFT PROJECTS THAT LISTS ALL	SKS REPORT" AND A "SLIPPING TASKS REPORT" FROM ACTIVITY THAT IS BEHIND SCHEDULE.				
ATTACH A "TASKS STARTING SOON" RERANGE OF THIRTY (30) DAYS AFTER TH	PORT FROM <u>MICROSOFT PROJECTS</u> WITH A DATE E DATE OF THIS PROGRESS REPORT.				
STATE WHAT ACTION IS BEING TAKEN T	O BRING PROJECT BACK TO SCHEDULE:				
Project Engineer and noted monthly on each shall not be changed except by contract cha	on at the beginning of the project (Attachment 5) with the progress report. The scheduled contract completion date ange order. Changes to the scheduled milestone submittal ct schedule approved by the OWNER'S Project Engineer.) YESNO				
This progress report (4 copies) shall be subrextended without a contract modification.	mitted monthly. Scheduled completion dates will not be				
CERTIFICATION: I certify that the stated in	formation is true and accurate to the best of my knowledge.				
CONSULTANT DATE	CITY PROJECT ENGINEER DATE				

ATTACHMENT 8 - SUB CONSULTANTS ENGAGED BY THE ENGINEER (Article 9.2)

CONSULTANT NAME AND ADDRESS	DESCRIPTION OF SERVICES	FEE
	N/A	

ATTACHMENT 9 - CONTRACT DOCUMENT REQUIREMENTS LIST

REQUIREMENT	SUBMIT TO	SUBMITTAL REQUIREMENT DATE	NUMBER OF COPIES	REFERENCE SECTION OF CONTRACT AND COMMENTS
Deviations from OWNER's standards.	OWNER	Prior to incorporating deviations.	2	Article 2.5
Products or materials specified by the ENGINEER that are available from only one source.	OWNER	Prior to 100% submittal.	2	Article 2.2
Approval of ENGINEER's Request for Payment.	OWNER	Within ten (10) days of receipt of the request from the ENGINEER.	N/A	Article 3.4
Approval of ENGINEER submittals	OWNER	So as to cause no delay to the ENGINEER or the PROJECT.	N/A	Article 3.8
Change order changes that reduce construction requirements.	OWNER	Prior to authorizing a change.	N/A	Article 3.11
Any information pertaining to any claim.	OWNER	Immediately	2	Article 3.12
Information pertinent to the PROJECT, all criteria and full information as to OWNER's requirements, copies of all design and construction standards.	ENGINEER	So as to not delay the services of the ENGINEER.	2	Article 5.1, 5.2
Notification of delays.	ENGINEER; OWNER	Promptly	4	Article 6.1
ENGINEER's monthly invoices.	OWNER	Monthly	4	Article 8.1.1
Consultant progress report.	OWNER	Monthly	4	Article 8.1.1
Records, data, parameters, design calculations and other information.	OWNER	Cancellation of contract.	2	Article 9.7
Documentation, records of reimbursable expenses, record copies of all written communications, and any memoranda of verbal communications related to the PROJECT.	OWNER	Upon notice from the OWNER.	2	Article 9.4

Termination notification.	OWNER or ENGINEER	7 days prior to termination.	2	Article 9.10 & 9.11
Certificate of Insurance for ENGINEER.	OWNER	At 0% design conference	1	Article 10.2(B), 10.6, and Attachment 5.
Insurance cancellation, suspension, or reduction in coverage or limits.	OWNER	30 days prior to effective date except for cancellation which is 10 days notification.	1	Article 10.4(A)
Certificate of insurance for sub consultants/subcontractors	OWNER	At 0% design conference.	1	Article 10.7
A schedule in Microsoft Projects format showing the critical path.	Project Engineer	Within 7 calendar days of Pre-design conference, 30% complete design review. 60% design review. Attachment 7	1 hard; 1 digital	Attachment 5
Drawings.	Project Engineer	30% complete design review, 60% design review, 90% review, and 100% complete.	3	Attachment 5
Cost estimate.	Project Engineer	30% complete design review, 60% review, 90% review, and 100% complete.	3	Attachment 5
Hydraulic reports.	Project Engineer	60% design review.	2	Attachment 5
Preliminary plans for utilities.	Project Engineer	60% design review.	3	Attachment 5
Real Estate Deliverables	Project Engineer	60% design review, 90% review, 100% complete.	Reference Real Estate Division Plan Requirements	Attachment 5, 15 Real Estate Plan Requirements at end of this proposal document
Traffic Control plan.	Project Engineer	60% design review.	N/A	Attachment 5
Results of geotechnical investigations.	Project Engineer	30% design review.	2	Attachment 5
Technical specifications.	Project Engineer	90% review, 100% complete.	N/A	Attachment 5
Relocation of Utilities	Project Engineer	0% review – list of all utilities that need to be contacted 60% review – from all affected parties 90% review – Signed Acceptance Utility Project Notification Form	2	Attachment 5, 11
Design Calculations	Project Engineer	90% review, 100% complete	11	Attachment 5
Digital copy of drawings.	Project Engineer	100% complete – 1 in .dgn format; 1 in .tiff or .pdf format	2	Attachment 5
Digital text files.	Project Engineer	100% complete.	11	Attachment 5
Bid Quantities.	Project Engineer	100% complete. Digital in Excel 2003 format and hard copy	3	Attachment 5
Permits and Permit Applications	Project Engineer	100% complete.	1	Attachment 5

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Digital aerial photography.	Project Engineer	100% complete.	1	Attachment 5
Progress Report (Art. 8)	Project Engineer	30% complete design review, 60% design review, 90% design review, 100% completion stage.	4 hard; 1 digital monthly	Attachment 5

ATTACHMENT 10 - REQUIREMENTS FOR DOCUMENT SUBMITTALS

All drawings shall be sized 24" x 36", unless otherwise approved by the OWNERS Project MAPPING SERVICES FIRM.

Title blocks shall as a minimum, contain the name of the project, date, city project number, and MAPPING SERVICES FIRM's name. Drawings shall contain alphanumeric revision designations. Drawings issued for review shall be issued with alpha revision designation and the revision letter shall be changed for each submittal containing drawing changes. Drawings issued for construction shall be issued with numeric designation at revision level "0" and described as "Issued for Construction" in the revision description block. Subsequent drawing changes require the revision level to be raised using successively higher numbers and the changes to be marked by circling and briefly described in a revision block. As built drawings shall be so designated in the revision block.

Unless otherwise specified by the Owners Project MAPPING SERVICES FIRM, all drawings for review submittals shall be full or half-size copies. All documents shall be clearly marked in a revision block indicating the applicable submittal milestone, i.e. 30%, 60%, 90%, etc.

Submittals required by the state of Alabama for their review, bidding, etc., shall be of the size, form and numbers of copies as the state may require even though such submittals may differ from the submittals set forth as being required elsewhere in this Agreement.

All drawings shall be prepared in Micro station .DGN format, unless otherwise approved by the OWNERS Project MAPPING SERVICES FIRM. Transmittal letters shall consist of a list of files being submitted, a description of the data in each file, and a level/layer schematic of each design file. DGN design files shall have working units as follows: master units in feet, no sub-units, and 1,000 positional units. All data submitted shall use NAD 1983 Alabama East Zone horizontal datum and NAVD 88 vertical datum coordinates.

Digital files shall be submitted by 4-3/4" CD ROM, 3 and 1/2 inch floppy disk, or to the City of Huntsville F.T.P. site.

All print copies shall be first generation copies.

All text documents shall be prepared in Microsoft Word 2007 format.

All spreadsheets shall be in Microsoft Excel 2007 format.

A schedule showing the critical paths shall be in Microsoft Projects format, unless otherwise approved by the OWNERS Project MAPPING SERVICES FIRM.

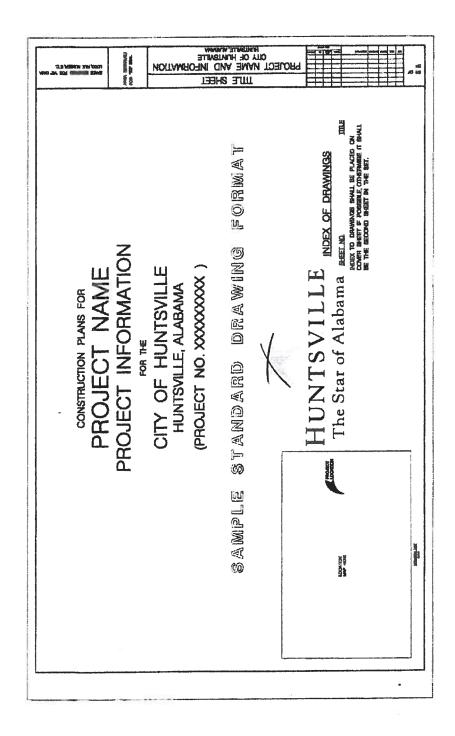
Aerial photography files shall be in Intergraph (.COT) or (.tiff) format.

All mapping shall meet National Map Accuracy Standards unless otherwise noted. If National Map Accuracy Standards are not met, the accuracy of the map shall be identified to the Owners Project MAPPING SERVICES FIRM and on the maps derived from the aerial survey. National Map Accuracy Standards are shown below. This and other map standards are shown in Department of the Army, US Army Corps of MAPPING SERVICES FIRMs standard, "EM 1110-1-1000, Mapping services and Design - Photogrammetric Mapping". http://140.194.76.129/publications/eng-manuals/em1110-1-1000/toc.htm

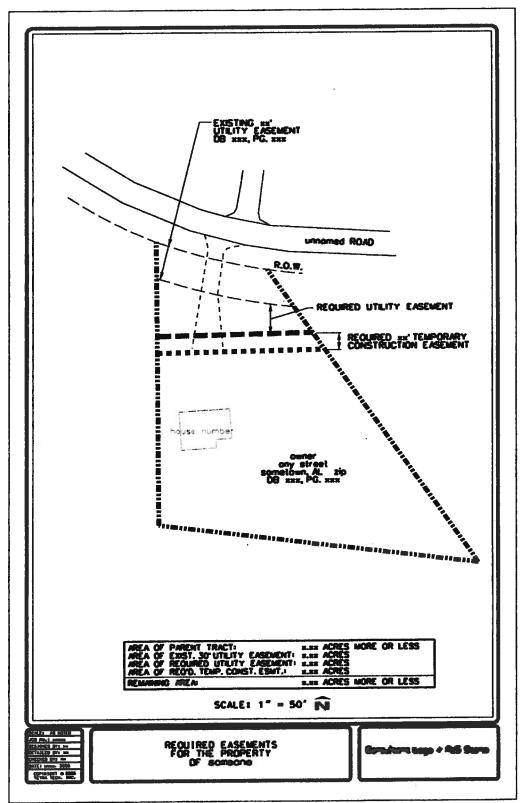
ATTACHMENT 11 - UTILITY PROJECT NOTIFICATION FORM

NAME:		_		
(Company N	ame)			
PROJECT NAME:		PROJECT I	NUMBER:	
CONSULTING ENGINEER:_	(Name)		-	
ENGINEERING REPRESENT	rative		PHONE:	<u>.</u>
I have reviewed desi	lgn drawings or oth	er information as	available, and:	
DO		DO	NOT	
LIST NAME(S) OF OTHER U	the Notice to Proce	ed, is anticipated	to be required for relocatio	n.
to <u>YOU</u> starting your work: NAME OF UTILITY:		-		
NAME OF UTILITY:				
NAME OF UTILITY:				
OTHER:				
COMMENTS:				
BY:AUTHORIZED REPR	ESENTATIVE			
FIELD CONTACT PERSON: OFFICE CONTACT PERSON	:	PHONE: PHONE:		
DATE:				

ATTACHMENT 12



ATTACHMENT 13 SAMPLE



...\easementtemplate_V7.dgn 3/17/2006 12:11:14 PM

ATTACHMENT 14

United States National Map Accuracy Standards

With a view to the utmost economy and expedition in producing maps which fulfill not only the broad needs for standard or principal maps, but also the reasonable particular needs of individual agencies, standards of accuracy for published maps are defined as follows:

- 1. Horizontal accuracy. For maps on publication scales larger than 1:20,000, not more than 10 percent of the points tested shall be in error by more than 1/30 inch, measured on the publication scale; for maps on publication scales of 1:20,000 or smaller, 1/50 inch. These limits of accuracy shall apply in all cases to positions of well-defined points only. Well-defined points are those that are easily visible or recoverable on the ground, such as the following: monuments or markers, such as bench marks, property boundary monuments; intersections of roads, railroads, etc.; corners of large buildings or structures (or center points of small buildings); etc. In general what is well defined will be determined by what is plottable on the scale of the map within 1/100 inch. Thus while the intersection of two road or property lines meeting at right angles would come within a sensible interpretation, identification of the intersection of such lines meeting at an acute angle would obviously not be practicable within 1/100 inch. Similarly, features not identifiable upon the ground within close limits are not to be considered as test points within the limits quoted, even though their positions may be scaled closely upon the map. In this class would come timber lines, soil boundaries, etc.
- 2. Vertical accuracy, as applied to contour maps on all publication scales, shall be such that not more than 10 percent of the elevations tested shall be in error more than one-half the contour interval. In checking elevations taken from the map, the apparent vertical error may be decreased by assuming a horizontal displacement within the permissible horizontal error for a map of that scale.
- 3. The accuracy of any map may be tested by comparing the positions of points whose locations or elevations are shown upon it with corresponding positions as determined by surveys of a higher accuracy. Tests shall be made by the producing agency, which shall also determine which of its maps are to be tested, and the extent of the testing.
- 4. **Published maps meeting these accuracy requirements** shall note this fact on their legends, as follows: "This map complies with National Map accuracy Standards."
- 5. **Published maps whose errors exceed those aforestated** shall omit from their legends all mention of standard accuracy.
- 6. When a published map is a considerable enlargement of a map drawing (manuscript) or of a published map, that fact shall be stated in the legend. For example, "This map is an enlargement of a 1:20,000-scale map drawing," or "This map is an enlargement of a 1:24,000-scale published map."
- 7. **To facilitate ready interchange and use of basic information for map construction** among all Federal mapmaking agencies, manuscript maps and published maps, wherever economically feasible and consistent with the uses to which the map is to be put, shall conform to latitude and longitude boundaries, being 15 minutes of latitude and longitude, or 7.5 minutes, or 3-3/4 minutes in size.

U.S. BUREAU OF THE BUDGET

ATTACHMENT 15

ENGINEERING DEPARTMENT - REAL ESTATE DIVISION PLAN REQUIREMENTS

DRAWINGS:

Individual Parcels

- Each individual parcel 8 ½" x 14" (dgn or dxf format)
- Show Calculations
 - ➤ Before
 - ➤ After
 - > Taking
- All Parcels shall be closed shapes (polygons).
- Show Existing and Proposed Right-of-Way on each individual parcel map.
- Property Ownership

Overall Project Land Acquisition Maps

- Total project drawing in dgn or dxf format
- Indicate the following:
 - > Stationing on Centerline
 - > Existing Right-of-Way
 - Proposed Right-of-Way
 - > Existing Easements
 - Proposed Easements
 - Existing Pavement
 - > Proposed Pavement/Sidewalks/Structures
 - > Existing Structures
 - Property Ownership

Color Standards

(SAMPLE)

Description	Color	Line Style	<u>Type</u>
Existing ROW	Red	Medium Dashed	
Proposed ROW	Red	Solid	Closed Polygon
Existing Easements	Orange	Medium Dashed	
Proposed Easements	Orange	Solid	Closed Polygon
TCĖ	Pink	Solid	Closed Polygon

DESCRIPTIONS:

- Microsoft Word on 3.5" Diskette or CD
- Each Description shall be complete and independent (separate file).
- Hard Copies signed and stamped by PLS.

GENERAL:

- P.K. Nails or other permanent stationing markings shall be required.
- Re-staking of right-of-way or easements may be required.
- All survey plats to be on Alabama State Plane Datum. Strip Maps shall indicate at least 2 monuments in place with Alabama State Plane Coordinate values shown on each.
- Parcel plats and legal descriptions shall indicate the Alabama State Plane Coordinate Value of the point of beginning.

ATTACHMENT 16 - GIS BASE MAP

DESIGN	CONTENTS	LINE	COLOR	WEIGHT	TEXT	FONT	CELL
LEVEL		CODE			SIZE		NAME
						•	
1	State Plane Coordinate Grid	0	0	0	20	0	ļ
2	Benchmarks	0	0	0			ļ
3	Private Street Text	0	105	0	20	0	-
4	Street Text	7	3	0	20 (or 18)	0	
5	Street R/W Street Centerline	7	0	0	 	 	
6	Street Pavement	0	3	0	1	-	
6	Proposed Street Pavement	3	16	0	 		
6	Private Streets	0	105	0	 		
6	Proposed Private Road	3	105	0	 		
7	Parking Lots	1	3	1	 		
7	Private Lots used as Roads	1	105	1			
8	Secondary RoadsPrivate	2	105	0			
8	Secondary Roads	2	3	0	 		
8	Trails	3	3	0			
9	Secondary Roads/Trails Text	0	3	Ö	20	0	
10	Sidewalks	5	3	0			
11	Bridges/Culverts/Paved	0	0	0			
	Ditches						
12	Hydrology - Major	6	1	0			
12	Hydrology - Minor, Ditches	7	1	0			
13	Hydrology - Text	0	1	0	25	23	
14	Tailings & Quarries, Athletic	0	1	0			
	Fields/Text, misc. areas				<u> </u>		
15	Greenways	3	48	0			
16	Speed Tables	0	3	0	.		TCALM
17	Railroad Tracks (Patterned)	0	2	0	ļ <u></u>		RR
18	Railroad Text	0	2	0	25	0	
19	Railroad R/W	2	2	0			D DOLD
20	Utility Poles (Cell)	0	5	0	<u> </u>		P POLE
21	Utility Easements	3	5	0			
22	Utility Text	0		1			
23	Geographic Names Building Structures	0	0	0	 	<u> </u>	
24	Pools and Text	0	1	0	10	1	
24	Future Site of Structures	2	0	0	10	1	STRUCT
24	Existing Structures (exact	2	0	0	 		STRCEX
	location and shape unknown)	2		"			JIKCLA
25	Property Lines/ refuge bdy.	6	6	1	30	1	
26	Cadastral Polygons	6	6	Ō		-	
27	Ownership Text	0	6	1			
28	Cemeteries/Text	4	6	ō	10	1	
29	Lot Numbers		<u> </u>		25	0	
30	Block Numbers				30	0	
31	Addition Names	0	0	0	35	0	
32	Open						
33	Lot Ticks						
34	Lot Lines/Property Lines	6	6	0			
35	Trees/Hedge Rows	0	6	0	AS=1		TREES
36	GPS Monuments	0	5	0	18	23	CONTRL
37	2' Topo Contour						
38	5' Topo Contour	0	7	0			
39	25' Major Topo Contour	0	7	0			

40	X Spot Elevation	0	7	0			
41	FEMA Monuments/Labels	0	3/0	0	18	1	FEMA
42	Quarter Sections						
43	Section Lines	0	5	0			
44	Features	0	2	0			
44	Cell Towers	0	12	0	AS=1		CELTWR
45	Fences (Pattern)	0	8	0	AS=1		FENCE
46	Format/Legend	Ó	0	0			Limleg Madleg
47	Mass Points	0	7	2			
48	Break Lines	0	7	2			
49	Open		Ī				
50	Billboards	0	37	l i			BBOARD
51	Sanitary Sewer	0		3			
52	Sanitary Sewer Text						
53	Storm Water Features	0		3			
54	Storm Water Text						
55	Open						
56	Property Address	0	1	0			
57	Text Tag for Buildings	0	1	0	10-20	1	
58	One Way Arrows	1	3	1			
59	Open						
60	Open						
61	Open						I
62	Monuments for Setup (point cell)						
63	Open						